

CONGREGAÇÃO – IFCH

PAUTA SUPLEMENTAR

240ª SESSÃO ORDINÁRIA

03/10/2018 - 14:00 horas

Sala da Congregação do IFCH

Diretor:

ALVARO GABRIEL BIANCHI MENDEZ

Diretor Associado:

ROBERTO LUIZ DO CARMO

Coordenador de Pós-Graduação:

MICHEL NICOLAU NETTO

Coordenador de Graduação:

MONIQUE HULSHOF

Chefe Departamento de Antropologia:

RONALDO ROMULO MACHADO DE ALMEIDA

Chefe Departamento de Ciência Política:

ANDRÉIA GALVÃO

Chefe Departamento de Demografia:

ANA SILVIA VOLPI SCOTT

Chefe Departamento de Sociologia:

SÁVIO MACHADO CAVALCANTE

Chefe Departamento de Filosofia:

TAISA HELENA PASCALE PALHARES

Chefe Departamento de História

RICARDO FIGUEIREDO PIROLA

REPRESENTANTES TITULARES DOCENTESNível MS-3:

1. LUCILENE REGINALDO
2. RUI LUÍS RODRIGUES
3. YARA ADÁRIO FRATESCHI
4. ANA SILVIA VOLPI SCOTT

Nível MS-5:

5. FÁTIMA REGINA RODRIGUES ÉVORA
6. MARCOS SEVERINO NOBRE
7. FERNANDO TEIXEIRA DA SILVA
8. JESUS JOSÉ RANIERI

Nível MS-6:

9. ARMANDO BOITO JÚNIOR
10. MARCELO SIQUEIRA RIDENTI
11. LEILA DA COSTA FERREIRA
12. JOSÉ MARCOS PINTO DA CUNHA

REPRESENTANTES SUPLENTE DOCENTESNível MS-3:

13. MÁRIO AUGUSTO MEDEIROS DA SILVA
14. SÁVIO MACHADO CAVALCANTI
15. FREDERICO NORMANHA R. DE ALMEIDA
16. EMÍLIA PIETRAFESA DE GODOI

Nível MS-5:

17. LUCIANA FERREIRA TATAGIBA

Nível MS-6:

1. RACHEL MENEGUELLO

REPRESENTANTES TÉCNICO-ADMINISTRATIVOS**TITULARES:**

1. REGINALDO ALVES DO NASCIMENTO
2. NILTON CÉSAR BETANHO
3. RICARDO VIEIRA CIOLDIN
4. PATRÍCIA AVANCI
5. LUÍS FERNANDO DE CARVALHO RABELLO
6. LEANDRO FERREIRA MACIEL

SUPLENTE:

1. EDUARDO MARTINS RIGATO
2. IGOR SANTIAGO RAIMUNDO
3. PAULO ROBERTO DE OLIVEIRA

REPRESENTANTES DISCENTES**TITULARES:**

1. MILENA TIBÚRCIO CICONE
2. CAIO ARRABAL FERNANDEZ JABBOUR
3. HELOÍSA SOARES DA SILVA FONSECA
4. CLARICE ARIELA DA SILVA CUNHA
5. INGRID SAYURI CORSI TAQUEMASA
6. HUDSON CAIKE DE ANDRADE GERMANO
7. VITÓRIA CAMARGO BAGGIO
8. MARIA ALÍCIA PITOMBO LEITE DE NORONHA

SUPLENTE:

240ª Sessão Ordinária da Congregação do IFCH – 03/10/2018**Pauta Suplementar****ORDEM DO DIA****PARA APROVAÇÃO**

- 01) Ata da 239ª Sessão Ordinária da Congregação (fls. 01 a 07)

CURSO DE EXTENSÃO

- 02) Of. IFCH/DA nº 49/2018 Interessado: IFCH
Assunto: Curso de Extensão "Capacitação e elaboração de materiais de apoio didático para povos indígenas". (fls. 08 a 16)

CONVÊNIO

- 03) Of. IFCH/DCP nº 23/2018 Assunto: Convênio de Cooperação entre a Universidade Estadual de Campinas e a Universidade de Bradford, para desenvolvimento do projeto de pesquisa "The drivers and impacts of the entry of police officers into legislative politics in Brazil". (fls.17 a 52)

1 ATA DA 239ª SESSÃO ORDINÁRIA DA CONGREGAÇÃO DO INSTITUTO DE
2 FILOSOFIA E CIÊNCIAS HUMANAS DA UNIVERSIDADE ESTADUAL DE CAMPINAS.
3 Aos cinco dias de setembro de 2018, às catorze horas, reuniu-se a Congregação do Instituto de
4 Filosofia e Ciências Humanas, sob a Presidência do Prof. Dr. Alvaro Gabriel Bianchi Mendez,
5 Diretor do Instituto. Compuseram a mesa os funcionários Nilton César Betanho, ATU do Instituto,
6 e Fábio Guzzo, secretário da Direção. Estiveram presentes à sessão os professores doutores: Michel
7 Nicolau Netto, Coordenador da Pós-Graduação; Monique Hulshof, Coordenadora da Graduação;
8 Andréia Galvão, Chefe do Departamento de Ciência Política; Sávio Machado Cavalcante, Chefe do
9 Departamento de Sociologia; Ricardo Figueiredo Pirola, Chefe do Departamento de História; Rui
10 Luís Rodrigues, representante titular de docentes MS-3; Marcos Severino Nobre e Fernando
11 Teixeira da Silva, representantes titulares de docentes MS-5; Marcelo Siqueira Ridenti,
12 representante titular de docentes MS-6; Mário Augusto Medeiros da Silva, representante suplente
13 de docentes MS-3. Estiveram presentes ainda os funcionários Nilton César Betanho, Ricardo Vieira
14 Cioldin, Luís Fernando de Carvalho Rabello e Leandro Ferreira Maciel, representantes titulares de
15 técnicos-administrativos; e Eduardo Martins Rigato e Paulo Roberto de Oliveira, representantes
16 suplentes de técnicos-administrativos. Compuseram a bancada discente os alunos Milena Tibúrcio
17 Cicone, Heloísa Soares da Silva Fonseca, Ingrid Sayuri Corsi Taquemasa e Vitória Camargo
18 Baggio, representantes titulares de discentes. Justificaram ausência os professores Roberto do
19 Carmo, Ronaldo de Almeida, Ana Scott, Taísa Palhares, Lucilene Reginaldo, Yara Frateschi,
20 Fátima Évora, Jesus Ranieri, Leila Ferreira, Oswaldo Giacóia e a funcionária Patrícia Avanci. O
21 Senhor Presidente recebeu a docente da Faculdade de Educação Ana Maria Fonseca de Almeida.
22 Responsável por coordenar os trabalhos de GT criado ano passado (GRs 83, 84 e 85/2017), ela
23 apresentou proposta de política de combate à discriminação de gênero, assédio e violência sexual
24 na Unicamp. Entre as medidas sugeridas no relatório final do GT, está a criação de um Comitê
25 Gestor que teria a atribuição de elaborar, implementar e acompanhar as iniciativas nessa área. O
26 documento também indica a criação de um Centro de Atenção à Violência Sexual (CAVS). Seguiu-
27 se comentários e sugestões para aprimoramento do texto. Após, o Senhor Presidente instalou a
28 sessão da Congregação e passou ao **INFORMES**. Explicou que houve descontingenciamento
29 parcial de recursos para realização de concurso para MS-06. Ao IFCH, foi destinada uma vaga de
30 professor titular (Deliberação CAD-A-004/2018). Após acordo entre os chefes dos departamentos,
31 decidiu-se atribuir esta vaga ao Departamento de Demografia, que tivera paralisado seu processo de
32 titular por conta do último contingenciamento. Em seguida, o Senhor Presidente leu sentença
33 judicial de ação proposta pelo Ministério Público, que visa coibir ocorrência de festas no *campus*,
34 com multa de R\$ 50 mil em caso de descumprimento. Após, leu moção de solidariedade exarada
35 pela Congregação do Instituto de Física, relativo ao questionamento judicial acerca do curso ‘Golpe
36 de 2016’. Outro informe foi a respeito de copos plásticos: dentro de dois meses, será descontinuada
37 a compra deste material. O Senhor Presidente lembrou também que, ao lado do Prof. Roberto,

38 completaram um ano do mandato. Para marcar a data, na manhã do dia 30 de outubro haverá uma
39 mesa com os Diretores de Humanas e Artes da Universidade. E, à tarde, ocorrerá uma plenária com
40 todas as categorias do Instituto para prestação de contas da gestão. Em seguida, passou-se à
41 ORDEM DO DIA da **Pauta Ordinária**: PARA APROVAÇÃO – 01) Ata da 236ª Sessão Ordinária
42 da Congregação; 02) Ata da 237ª Sessão Ordinária da Congregação; 03) Ata da 238ª Sessão
43 Ordinária da Congregação; CONCURSO PÚBLICO PARA PROFESSOR TITULAR - Abertura -
44 04) Processo nº 09-P-30371/2016 - Interessado: DEPARTAMENTO DE DEMOGRAFIA -
45 Assunto: Ratificação da abertura de Concurso Público para Provimento de Cargo de Professor
46 Titular - e respectivo Edital - na área de Fecundidade, Nupcialidade e Família, Disciplina DM-012
47 - Questões Demográficas Atuais; CONCURSO PARA OBTENÇÃO DO TÍTULO DE
48 PROFESSOR LIVRE DOCENTE - Abertura - 05) Processo nº 09-P-6527/2017 - Interessado:
49 DEPARTAMENTO DE CIÊNCIA POLÍTICA - Assunto: Ratificação da abertura de Concurso
50 para obtenção do Título de Professor Livre Docente - e respectivo Edital - na área de Teoria do
51 Estado, Disciplina HZ-345 - Política III: Teorias do Estado; RELATÓRIO PERIÓDICO DE
52 ATIVIDADES DOCENTES - 06) Processo nº 09-P-174/1991 - Interessado: PEDRO PAULO
53 ABREU FUNARI - Departamento: História - Período: 01/6/2013 a 31/5/2018; LICENÇA
54 ESPECIAL PARA FINS TÉCNICOS, CIENTÍFICOS OU CULTURAIS (SABÁTICA) - 07)
55 Processo nº 09-P-12212/2006 - Interessado: VALERIANO MENDES FERREIRA COSTA -
56 Departamento: Ciência Política - Período: 16/8/2018 a 16/01/2019; 08) Processo nº 09-P-
57 18426/1998 - Interessado: MARCELO SIQUEIRA RIDENTI - Departamento: Sociologia -
58 Período: 06 meses a partir de 01/02/2019; AFASTAMENTO CONFORME DELIBERAÇÃO
59 CONSU-A-14/2015 - 09) Processo nº 09-P-18121/2012 - Interessado: OSWALDO MARTINS
60 ESTANISLAU DO AMARAL - Departamento de Ciência Política - Assunto: Relatório de
61 Atividades do afastamento para Estágio Pós-Doutorado, referente ao período de 30/01/2018 a
62 11/5/2018; PROFESSOR COLABORADOR - 10) Processo nº 09-P-17288/2018 - Interessada:
63 GUITA GRIN DEBERT - Assunto: Ingresso no Programa de Professor Colaborador, por 02 anos,
64 a partir de 01/9/2018, junto ao Departamento de Antropologia; 11) Processo nº 09-P-18150/2018 -
65 Interessada: STELIO ALESSANDRO MARRAS - Assunto: Ingresso no Programa de Professor
66 Colaborador, por 02 anos, a partir de 01/9/2018, junto ao Departamento de Antropologia; 12)
67 Processo nº 09-P-17980/2018 - Interessada: FERNANDO ATIQUE - Assunto: Ingresso no
68 Programa de Professor Colaborador, por 02 anos, a partir de 01/8/2018, junto ao Departamento de
69 História; 13) Processo nº 09-P-22779/2016 - Interessada: MÁRCIA LOPES REIS - Assunto:
70 Relatório de atividades e renovação de vínculo no Programa de Professor Colaborador, por 02 anos,
71 a partir de 01/9/2018, junto ao Departamento de Sociologia; 14) Processo nº 09-P-21008/2011 -
72 Interessada: PAULO CELSO MICELI - Assunto: Relatório parcial e renovação de vínculo no
73 Programa de Professor Colaborador, por 02 anos, a partir de 01/9/2018, junto ao Departamento de
74 Sociologia; PESQUISADOR COLABORADOR - 15) Processo nº 09-P-17287/2018 - Interessada:

75 PIERO DE CAMARGO LEIRNER - Assunto: Ingresso no Programa de Pesquisador Colaborador,
76 para o período de 31/7/2018 a 01/02/2019, sob a supervisão do Prof. Dr. Antonio Roberto
77 Guerreiro Júnior, junto ao Departamento de Antropologia; 16) Ofício IFCH/DF nº 36/2018 -
78 Interessada: ALEXANDRE DE OLIVEIRA HENZ - Assunto: Ingresso no Programa de
79 Pesquisador Colaborador, por 01 ano, a partir de 01/8/2019, sob a supervisão do Prof. Dr. Luiz
80 Benedicto Lacerda Orlandi, junto ao Departamento de Filosofia; 17) Processo nº 09-P-12264/2016
81 - Interessado: ANDRÉ SZACZAWLINSKA - Assunto: Renovação de vínculo no Programa de
82 Pesquisador Colaborador, por 02 anos, a partir de 01/8/2018, sob a supervisão do Prof. Dr. Rui
83 Luis Rodrigues, junto ao Departamento de História; 18) Processo nº 09-P-12281/2016 - Interessado:
84 MARGARIDA FÁTIMA SOUZA RIBEIRO - Assunto: Renovação de vínculo no Programa de
85 Pesquisador Colaborador, por 02 anos, a partir de 01/8/2018, sob a supervisão da Profa. Dra. Eliane
86 Moura da Silva, junto ao Departamento de História; 19) Processo nº 09-P-12290/2016 - Interessado:
87 WALDEMAR GOMES - Assunto: Renovação de vínculo no Programa de Pesquisador
88 Colaborador, por 02 anos, a partir de 01/8/2018, sob a supervisão do Prof. Dr. Luiz César Marques
89 Filho, junto ao Departamento de História; 20) Processo nº 09-P-22779/2016 - Interessada:
90 PATRÍCIA VILLEN MEIRELLES ALVES - Assunto: Relatório final e encerramento de vínculo no
91 Programa de Pesquisador Colaborador, junto ao Departamento de Sociologia; 21) Processo nº 09-
92 P-10604/2016 - Interessada: ZAMARA ARAÚJO DOS SANTOS - Assunto: Enceramento de
93 vínculo no Programa de Pesquisador Colaborador, junto ao Departamento de Filosofia; 22)
94 Processo nº 09-P-30197/2016 - Interessada: CONCEIÇÃO APARECIDA SERRALHA - Assunto:
95 Enceramento de vínculo no Programa de Pesquisador Colaborador, junto ao Departamento de
96 Filosofia; PROGRAMA DE PESQUISADOR DE PÓS-DOCTORADO - PPPD - 23) Ofício
97 IFCH/DA nº 37/2018 - Interessada: MARCO ALEJANDRO TOBÓN OCAMPO - Assunto:
98 Ingresso no Programa de Pesquisador de Pós-Doutorado, por 02 anos, a partir de 01/8/2018, sob a
99 supervisão da Profa. Dra. Nashieli Cecília Rangel Loera, junto ao Departamento de Antropologia;
100 24) Ofício IFCH/DS nº 15/2018 - Interessada: ADRIANE APARECIDA VIDAL COSTA -
101 Assunto: Ingresso no Programa de Pesquisador de Pós-Doutorado, por 01 ano, a partir de
102 01/8/2018, sob a supervisão do Prof. Dr. Marcelo Siqueira Ridenti, junto ao Departamento de
103 Sociologia; 25) Ofício IFCH/DH nº 25/2018 - Interessada: NAUBER GAVASKI DA SILVA -
104 Assunto: Ingresso no Programa de Pesquisador de Pós-Doutorado, por 01 ano, a partir de
105 01/8/2018, sob a supervisão da Profa. Dra. Silvia Hunold Lara, junto ao Departamento de História;
106 26) Ofício IFCH/DA nº 39/2018 - Interessada: ALINE FONSECA IUBEL - Assunto: Renovação
107 de vínculo no Programa de Pesquisador de Pós-Doutorado, por 02 anos, a partir de 01/01/2019, sob
108 a supervisão do Prof. Dr. Antonio Roberto Guerreiro Júnior, junto ao Departamento de
109 Antropologia; 27) Ofício IFCH/DS nº 18/2018 Interessada: HIVY DAMÁSIO ARAÚJO MELLO
110 - Assunto: Renovação de vínculo no Programa de Pesquisador de Pós-Doutorado, por 02 anos, a
111 partir de 01/01/2019, sob a supervisão do Prof. Dr. Renato José Pinto Ortiz, junto ao Departamento

112 de Sociologia; 28) Ofício IFCH/DF nº 37/2018 - Interessada: RAFAEL HENRIQUE TEIXEIRA -
113 Assunto: Renovação de vínculo no Programa de Pesquisador de Pós-Doutorado, por 01 ano, a
114 partir de 01/5/2018, sob a supervisão do Prof. Dr. Luiz Benedicto Lacerda Orlandi, junto ao
115 Departamento de Filosofia; 29) Ofício IFCH/DH nº 30/2018 - Interessada: MATHEUS
116 COUTINHO FIGUINHA - Assunto: Renovação de vínculo no Programa de Pesquisador de Pós-
117 Doutorado, por 01 ano, a partir de 01/9/2018, sob a supervisão do Prof. Dr. Luiz César Marques
118 Filho, junto ao Departamento de História; 30) Ofício IFCH/DH nº 36/2018 - Interessada:
119 SABRINA STUDART FONTENELLE COSTA - Assunto: Renovação de vínculo no Programa de
120 Pesquisador de Pós-Doutorado, para o período de 01/9/2018 a 10/3/2019, sob a supervisão da Profa.
121 Dra. Silvana Barbosa Rubino, junto ao Departamento de História; 31) Processo nº 09-P-
122 16026/2014 - Interessada: WANEESSA ASFORA NADLER - Assunto: Encerramento de vínculo
123 no Programa de Pesquisador de Pós-Doutorado, junto ao Departamento de História; 32) Processo
124 nº 09-P-5104/2018 - Interessada: CAROLINA AMARAL DE AGUIAR - Assunto: Encerramento
125 de vínculo no Programa de Pesquisador de Pós-Doutorado, junto ao Departamento de História;
126 INDICAÇÃO - 33) Ofício IFCH/DF nº 35/2018 - Interessado: CLE - Assunto: indicação do Prof.
127 Dr. Walter Alexandre Carnielli, para compor o Conselho Científico do Centro de Lógica,
128 Epistemologia e História da Ciência; CONVÊNIO - 34) Ofício IFCH/DA nº 32/2018 - Interessado:
129 DEPARTAMENTO DE ANTROPOLOGIA - Assunto: Convênio de Cooperação entre a
130 Universidade Estadual de Campinas e a Empresa Solamaz: Solutions Énergétiques S.A.S.;
131 CURSOS DE EXTENSÃO - 35) Of. IFCH/DH nº 36/2018 - Interessado: IFCH - Assunto: Curso
132 de Extensão “A Canção Popular no Ensino de História”; COORDENAÇÃO DE PÓS-
133 GRADUAÇÃO - PROFESSOR COLABORADOR - 36) Processo nº 09-P-7778/2015 - Interessado:
134 GIOVANNI ANTONIO PINTO ALVES - Assunto: Renovação de vínculo no Programa de
135 Professor Colaborador, por 02 anos, a partir de 01/3/2019, junto ao Programa de Pós-Graduação
136 em Ciência Sociais; 37) Processo nº 09-P-4174/2017 - Interessado: MARILDA APARECIDA
137 MENEZES - Assunto: Renovação de vínculo no Programa de Professor Colaborador, por 02 anos,
138 a partir de 01/02/2019, junto ao Programa de Pós-Graduação em Ciência Sociais; ALTERAÇÃO
139 DA COMPOSIÇÃO DE SUBCOMISSÃO - 38) Delib. CPG/IFCH nº 227/2018 - Interessado:
140 PÓS-GRADUAÇÃO - Assunto: Alteração na composição da Subcomissão do Programa de Pós-
141 Graduação em Ensino de História (ProfHistória), a partir do mês de 01/6/2018; PARA
142 HOMOLOGAÇÃO - FAEPEX - 39) Of.CIRC.CGU.COPEI Nº 2/2018 - Interessado: IFCH -
143 Assunto: Indicação de Comissão Interna de Avaliação Institucional; FAEPEX - 40) Ofício
144 PRP.CIRC. Nº61/2018 - Interessado: IFCH - Assunto: Indicação de representante para o Conselho
145 de Orientação do FAEPEX; COORDENAÇÃO DE PÓS-GRADUAÇÃO - CREDENCIAMENTO
146 DE DOCENTE - 41) Delib. CPG/IFCH nº 205/2018 - Interessado: PÓS-GRADUAÇÃO - Assunto:
147 Credenciamento do Prof. Dr. ANAXSUELL FERNANDO DA SILVA, como Professor
148 Colaborador, junto ao Programa de Pós-Graduação em Antropologia Social, para ministrar aulas e

149 orientar; 42) Delib. CPG/IFCH nº 206/2018 - Interessado: PÓS-GRADUAÇÃO - Assunto:
150 Credenciamento da Profa. Dra. CRISTINA ADAMS, como Professora Colaboradora, junto ao
151 Programa de Pós-Graduação em Antropologia Social, para orientar; 43) Delib. CPG/IFCH nº
152 207/2018 - Interessado: PÓS-GRADUAÇÃO - Assunto: Renovação do credenciamento do Prof. Dr.
153 ANTONIO CARLOS DIAS JÚNIOR, como Professor Colaborador, junto ao Programa de Pós-
154 Graduação em Ciência Política, para ministrar aulas e orientar; 44) Delib. CPG/IFCH nº 208/2018
155 - Interessado: PÓS-GRADUAÇÃO - Assunto: Renovação do credenciamento da Profa. Dra.
156 SUSANA SOARES BRANCO DURÃO, como Professora Permanente, junto ao Programa de Pós-
157 Graduação em Ciências Sociais, para ministrar aulas e orientar; 45) Delib. CPG/IFCH nº 230/2018 -
158 Interessado: PÓS-GRADUAÇÃO - Assunto: Manutenção do credenciamento da Profa. Dra.
159 GUITA GRIN DEBERT, como Professora Permanente, junto aos Programas de Pós-Graduação em
160 Antropologia Social e Ciências Sociais, para ministrar aulas e orientar; 46) Delib. CPG/IFCH nº
161 232/2018 - Interessado: PÓS-GRADUAÇÃO - Assunto: Renovação do credenciamento da Profa.
162 Dra. MARILDA APARECIDA MENEZES, como Professora Permanente, junto ao Programa de
163 Pós-Graduação em Ciências Sociais, para ministrar aulas e orientar; DESCREDENCIAMENTO
164 DE DOCENTE - 47) Delib. CPG/IFCH nº 229/2018 - Interessado: PÓS-GRADUAÇÃO - Assunto:
165 Descredenciamento da Profa. Dra. NATÁLIA CORAZZA PADOVANI, como Professora
166 Colaboradora, junto ao Programa de Pós-Graduação em Antropologia Social; 48) Delib.
167 CPG/IFCH nº 231/2018 - Interessado: PÓS-GRADUAÇÃO - Assunto: Descredenciamento do Prof.
168 Dr. SILVIO CÉSAR DE CAMARGO, como Professor Permanente, junto ao Programa de Pós-
169 Graduação em Sociologia; RECONHECIMENTO DE DIPLOMA - 49) Delib. CPG/IFCH nº
170 224/2018 - Interessada: MAURÍZIO ESPÓSITO - Assunto: Reconhecimento de Diploma de
171 Doutorado em Filosofia. Parecer favorável. Após, foi destacado o ponto 35. Em seguida, os demais
172 itens da pauta ordinária foram a escrutínio e aprovados por unanimidade. Após, passou-se ao
173 destaque único: o **ponto 35**. O Prof. Ricardo trouxe ponderações acerca do curso de extensão 'A
174 Canção Popular no Ensino de História'. Afirmou que, a despeito de ter sido aprovado, não houve
175 consenso no Departamento de História, pois o curso não é gratuito. O curso deverá ser pago por
176 conta do corte de verbas públicas para financiá-lo. Destacou aspecto positivo da proposta, que é sua
177 vinculação à Olimpíada de História, um projeto exitoso e de repercussão nacional. Após debate, em
178 que se apresentaram vários questionamentos sem respostas, o Senhor Presidente encaminhou pela
179 retira de pauta deste ponto. Após, a proposta foi a votação e aprovada por unanimidade a retirada
180 de pauta. Em seguida, passou à ORDEM DO DIA da **Pauta Suplementar: PARA**
181 **APROVAÇÃO – CONCURSO PARA OBTENÇÃO DO TÍTULO DE PROFESSOR LIVRE**
182 **DOCENTE - Abertura - 01) Processo nº 09-P-11541/2015 - Interessado: DEPARTAMENTO DE**
183 **FILOSOFIA - Assunto: Ratificação da abertura de Concurso para obtenção do Título de Professor**
184 **Livre Docente - e respectivo Edital - na área de História da Filosofia, Disciplina HG-303 - Ética I;**
185 **RELATÓRIO PERIÓDICO DE ATIVIDADES DOCENTES - 02) Processo nº 09-P-4581/2004 -**

186 Interessado: RONALDO RÔMULO MACHADO DE ALMEIDA - Departamento: Antropologia -
187 Período: 01/02/2014 a 31/01/2018; 03) Processo nº 09-P-18246/2004 - Interessado: ENÉIAS
188 JÚNIOR FORLIN - Departamento: Filosofia - Período: 01/02/2014 a 31/01/2018; PROPOSTA DE
189 MOÇÃO - 04) Assunto: Proposta de Moção referente à solução dos problemas da rede elétrica da
190 Biblioteca Octávio Ianni do IFCH. Após, foi destacado o ponto 04. Em seguida, os demais itens da
191 pauta suplementar foram a escrutínio e aprovados por unanimidade. Após, passou-se ao destaque
192 único: o **ponto 04**. O Senhor Presidente leu proposta de moção: *“Nossa sociedade, assim como a*
193 *opinião pública internacional, foi chocada no último dia 02 de setembro pela notícia do incêndio*
194 *que destruiu o precioso acervo do Museu Nacional localizado na Quinta da Boa Vista (Rio de*
195 *Janeiro). A perda incomensurável sofrida pela cultura e ciência brasileiras não tem paralelo com*
196 *nenhuma ocorrência em nossa história. Nosso Instituto lamenta o ocorrido e externa sua posição*
197 *de que o episódio não constituiu mero acidente; foi resultado, na verdade, do descaso a que o*
198 *patrimônio cultural e científico de nosso país vem sendo submetido por sucessivas instâncias*
199 *governamentais. Semelhantemente, entendemos que atenta contra a verdade dos fatos a atribuição*
200 *da culpa pelo ocorrido à Universidade Federal do Rio de Janeiro (UFRJ). Toda a comunidade*
201 *intelectual brasileira sabe do compromisso com a produção e difusão do conhecimento,*
202 *característico dessa Universidade, bem como de sua luta pela manutenção do Museu Nacional ao*
203 *longo de sua história. Cabe responsabilizar, sim, o descaso dos governantes e a adoção, pelo atual*
204 *governo federal, de cortes substanciais nas verbas destinadas à cultura, ciência e tecnologia. O*
205 *ocorrido no Museu Nacional, por outro lado, recorda-nos os repetidos alertas que nosso Instituto*
206 *tem emitido sobre a necessidade de preservação do patrimônio científico e cultural de nossa*
207 *Universidade. Nomeadamente, referimo-nos à situação de risco em que se encontra a biblioteca*
208 *Octavio Ianni, do IFCH. A Biblioteca Octávio Ianni possui em seu acervo cerca de 250 mil itens;*
209 *uma coleção de história da arte com 14.027 títulos; 260 títulos de livros raros; 1.950 títulos de*
210 *obras de referência (dicionários, enciclopédias, guias etc.) e 2.891 títulos de periódicos (correntes*
211 *e não correntes), correspondendo ao maior acervo do Sistema de Bibliotecas da Unicamp. Para o*
212 *ano de 2017, a A Biblioteca Octávio Ianni, registrou os seguintes indicadores: 1.77.795*
213 *empréstimos domiciliares e 43.637 renovações on-line. Em comparação, no mesmo período, as 04*
214 *bibliotecas da Área de Humanidades emprestaram 150.118 itens, o que sinaliza o alto índice de*
215 *uso e empréstimo de seu acervo; 2.15.158 consultas locais em seu acervo, terceiro maior volume*
216 *do Sistema de Bibliotecas da Unicamp; 3.131.853 usuários e visitantes externos, maior fluxo*
217 *registrado pelo Sistema de Bibliotecas da Unicamp. Todo esse conjunto de atividades é realizado*
218 *por um corpo de funcionários extremamente dedicados, mas muito reduzido. São 14 funcionários,*
219 *20 estudantes bolsistas e 1 estagiário. A necessidade de contratações é premente, principalmente*
220 *tendo em vista as aposentadorias que se avizinham. Vale destacar que parte desse corpo de*
221 *trabalho é constituído por estudantes bolsistas, em funções que deveriam ser atribuídas a*
222 *funcionários contratados. Mesmo com as dificuldades, a biblioteca tem funcionado da melhor*

223 *maneira possível. Entretanto, desde novembro de 2017, portanto há quase um ano, episódios de*
224 *desligamento dos disjuntores, curto circuitos e princípios de incêndio ocorreram. Neste período,*
225 *foi emitido parecer da Divisão de Manutenção/Prefeitura do Campus indicando a necessidade de*
226 *reforma para atualização dos quadros elétricos e a consequente adequação às normas técnicas da*
227 *ABNT e de segurança do trabalho. Desde então, apenas medidas paliativas foram tomadas. A*
228 *Biblioteca Octávio Ianni foi construída pelo esforço de docentes, funcionários e estudantes ao*
229 *longo dos anos; sua criação demandou recursos públicos expressivos para a sua construção e*
230 *manutenção. É um patrimônio de valor inigualável da sociedade brasileira. Não podemos admitir*
231 *que esteja sob risco de virar cinzas. Solicitamos que seja tratada com a máxima prioridade e*
232 *urgência a liberação dos recursos para o início das obras de reforma dos quadros elétricos da*
233 *Biblioteca Octávio Ianni, tal qual previsto no processo 09-P-19138/2008, garantindo, assim, a*
234 *preservação do maior acervo do Sistema de Bibliotecas da Unicamp, a segurança de usuários e do*
235 *corpo técnico-administrativo da Biblioteca Octávio Ianni e o atendimento às normas técnicas de*
236 *segurança do trabalho estabelecidas pela legislação vigente.” Após sugestões de ajustes textuais, a*
237 *moção foi à votação e aprovada por unanimidade. Nada mais havendo a tratar, o Senhor Presidente*
238 *considerou encerrada a reunião e eu, Fábio Guzzo, lavrei a presente ata, a ser submetida à*
239 *Congregação do Instituto de Filosofia e Ciências Humanas. Campinas, 28 de setembro de 2018.*



UNIVERSIDADE ESTADUAL DE CAMPINAS
 INSTITUTO DE FILOSOFIA E CIÊNCIAS HUMANAS
 DEPARTAMENTO DE ANTROPOLOGIA



Campinas, 28 de setembro de 2018.

Ofício DA / IFCH nº 049/18

000008

Ilmo. Sr.
 Prof. Dr. Alvaro Gabriel Bianchi Mendez
 Diretor - IFCH
 UNICAMP

UNIVERSIDADE ESTADUAL DE CAMPINAS
 INSTITUTO DE FILOSOFIA E CIÊNCIAS HUMANAS
 DEPARTAMENTO DE ANTROPOLOGIA

Senhor Diretor,

Encaminho ao senhor para apreciação pela Congregação do IFCH, a proposta do curso de extensão “Capacitação e elaboração de materiais de apoio didático para povos indígenas” a ser oferecido no período de 29/novembro/2018 a 06/dezembro/2018, junto ao Instituto de Filosofia e Ciências Humanas/UNICAMP, sob a organização do Prof. Dr. Antonio R. Guerreiro Jr.

O curso tem como objetivo apresentar as principais discussões antropológicas e educacionais em torno da educação escolar indígena e da importância da produção de materiais para cada etnia, além da capacitação e a elaboração de materiais de apoio didático para escolas indígenas, visando a continuidade e autonomia.

Informo ainda que a proposta de oferecimento do curso foi aprovada *ad referendum* pela chefia do Departamento de Antropologia, para ser homologada na próxima reunião departamental.

Sem mais, aproveito a oportunidade para reiterar protestos de estima e consideração.

Cordialmente,

Prof. Dr. Ronaldo Rômulo Machado de Almeida
 Chefe do Departamento de Antropologia
 IFCH / UNICAMP
 Matr. 286526



000009

DELIBERAÇÃO DA nº 035/2018

O Chefe do Departamento de Antropologia aprovou, *ad referendum*, a proposta do curso de extensão “**Capacitação e elaboração de materiais de apoio didático para povos indígenas**” a ser oferecido no período de 29/novembro/2018 a 06/dezembro/2018, junto ao Instituto de Filosofia e Ciências Humanas/UNICAMP, sob a organização do Prof. Dr. Antonio R. Guerreiro Jr.

O curso tem como objetivo apresentar as principais discussões antropológicas e educacionais em torno da educação escolar indígena e da importância da produção de materiais para cada etnia, além da capacitação e a elaboração de materiais de apoio didático para escolas indígenas, visando a continuidade e autonomia.

Prof. Dr. Ronaldo Rômulo Machado de Almeida
Chefe do Departamento de Antropologia
IFCH / UNICAMP
Matr. 286526



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Rub.:

Proposta de oferecimento - Custos do Oferecimento

Dados do Oferecimento

Sigla - Nome: FCH-0018 - CAPACITAÇÃO E ELABORAÇÃO DE MATERIAIS DE APOIO DIDÁTICO PARA POVOS INDÍGENAS.

Nº do Registro: 000 - **Unidade:** - **Departamento:**

Oferecimento Cadastrado na Extecamp: _____

Horas-Aula Presencial - Teórica: 10 horas

Horas-Aula Presencial - Prática: 25 horas

Horas-Aula A Distância - Teórica: 0 horas

Horas-Aula A Distância - Prática: 0 horas

Total de Horas-Aula: 35 horas

PARA POVOS INDÍGENAS

Planilha de custos

Professores - hora-aula (incluir encargos):	R\$ 0,00
Professores - outras atividades (incluir encargos):	R\$ 0,00
Material de consumo (transparência, papéis, etc.):	R\$ 0,00
Materiais permanentes e equipamentos:	R\$ 0,00
Serviços de terceiros:	R\$ 0,00
Outros custos (certificados):	R\$ 0,00
Aproveitamento de Recursos Gerados por Cursos de Extensão:	R\$ 0,00
Custo total do curso:	R\$ 0,00
TAXAS (incidem sobre o custo total do curso)	
Fixas --> FAEPEX: 0,6% - EXTECAMP: 2,4% - PIDS: 8% - FUNCAMP: 6,5% - TOTAL: 17,5%:	R\$ 0,00
Variáveis --> AIU (Definida pelo Diretor da Unidade de no mínimo 3%) Preencher obrigatoriamente: 0%	R\$ 0,00
AIU DESTINO: 0% - UNIDADE:	R\$ 0,00
Variáveis --> Fundo de Extensão da Unidade: 0%	R\$ 0,00
Total de Taxas Fixas + Variáveis:	R\$ 0,00
Subsídios Gerados em Conformidade com a Portaria GR 086/1995 (Doação, Patrocínio, Captação de Apoio):	R\$ 0,00
Custo Total (custo total do curso + total de taxas fixas e variáveis):	R\$ 0,00

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Custo por aluno (custo total dividido pelo número mínimo de vagas): R\$ 0,00

Observação: Número de Bolsas de acordo com a Resolução CONEX 01/94.

Descontos, Condições e Formas de Pagamento

- **Condições de Pagamento:**
- **Descontos Especiais:**
*** Não preenchido pela Unidade.
- **Formas de Pagamento**
 - Forma de pagamento: CURSO GRATUITO
 - Fonte de pagamento: 2- GRATUITOS (ALUNOS E PROFESSORES)

A Unidade proponente é responsável pela veracidade das informações constantes neste oferecimento.

Autorizo a debitar do AIU da Unidade as despesas referentes a emissão dos certificados dos alunos aprovados no curso acima especificado, bem como os custos de postagem, conforme Instrução Normativa Extecamp nº 01/2015

**ANTONIO ROBERTO
GUERREIRO JUNIOR**
Professor Responsável pelo
Curso

TANIELE CRISTINA RUI
Coordenador de Extensão

**ALVARO GABRIEL BIANCHI
MENDEZ**
Diretor da Unidade

_____/_____/_____ ____/____/_____ ____/____/_____

Parecer EXTECAMP

Este processo de curso encontra-se em harmonia com as normas institucionais em vigor vigentes para oferecimento na UNICAMP.

Prof. Dr. Wagner José Fávaro
Diretoria de Extensão
PROEC/UNICAMP



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P/E. Nº: - - - - -
Rub.: - - - - -

Proposta de oferecimento de cursos de extensão

Dados do Oferecimento

Sigla - Nome: FCH-0018 - CAPACITAÇÃO E ELABORAÇÃO DE MATERIAIS DE APOIO DIDÁTICO PARA POVOS INDÍGENAS.

Tipo do Curso: CURSO DE EXTENSÃO-S

Nº do Registro: 000 - **Unidade:** INSTITUTO DE FILOSOFIA E CIÊNCIAS HUMANAS -
Departamento: ANTROPOLOGIA

Oferecimento cadastrado na Extecamp: _____

Divulgar pela Extecamp?: Não.

Divulgação pela própria unidade: A DIVULGAÇÃO SERÁ FEITA PELA PRÓPRIA UNIDADE PARA PROFESSORES, DIRETORES ESCOLARES E COORDENADORES PEDAGÓGICOS INDÍGENAS..

Site para divulgação: Não informado pela unidade.

Página Facebook: Não informado pela unidade.

Número de Vagas: Mínimo: 3 - Máximo: 10.

Prazo de Integralização: 1 meses.

Tipo do Curso: CURSO DE EXTENSÃO-S

Palavras chaves: EDUCAÇÃO, ARTE, LINGUAGEM, PEDAGOGIA

Área em que o curso se insere: Humanas

Área Temática: Cultura, Educação

Forma de Realização: PRESENCIAL

Horas-Aula Presencial - Teórica: 10 horas

Horas-Aula Presencial - Prática: 25 horas

Horas-Aula A Distância - Teórica: 0 horas

Horas-Aula A Distância - Prática: 0 horas

Total de Horas-Aula: 35 horas

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Observações: Não informado pela unidade.

Conteúdo do curso

Ementa: Este curso de extensão pretende apresentar as principais discussões antropológicas e educacionais em torno da educação escolar indígena e da importância da produção de materiais específicos para cada etnia. Também será foco do curso a capacitação e a elaboração de materiais de apoio didático para escolas indígenas, visando a continuidade e autonomia.

Objetivo: O curso de extensão "CAPACITAÇÃO E ELABORAÇÃO DE MATERIAIS DE APOIO DIDÁTICO PARA POVOS INDÍGENAS" tem como objetivo apresentar as principais discussões antropológicas e educacionais em torno da educação escolar indígena e da importância da produção de materiais específicos para cada etnia, além de capacitar os cursistas a elaborar materiais de apoio didático em sua própria língua ou de forma bilíngue, visando a autonomia e continuidade, a partir da formação de multiplicadores da ação..

Público-alvo: Professores, Diretores Escolares e Coordenadores Pedagógicos de Escolas Interculturais Indígenas, estudantes indígenas.

Procedimentos Metodológicos: O curso de extensão terá a duração de uma semana e contará com uma bibliografia de apoio de 10 horas aula para ser estudada antes dos encontros, e será abordada no primeiro encontro teórico do curso, de 5 horas/aula. Os outros 5 encontros presenciais que se seguem serão práticos e visarão a capacitação e a elaboração de materiais de apoio didático. Duas aulas serão de capacitação em desenhos para o material e duas sobre educação e como pensar os exercícios para cada idade. Em seu último encontro, o curso contará com uma aula ministrada pelos formadores e participantes do projeto vinculado ao Ministério da Educação e a Universidade de São Paulo intitulado Saberes Indígenas na Escola, para que estes possam compartilhar as experiências teóricas e práticas de produção de material didáticos do povo indígena Guarani Mbya do estado de São Paulo..

Bibliografia: BERGAMASCHI, M. A ; MENEZES, Ana Luisa Teixeira de . Crianças indígenas, educação, escola e interculturalidade. Revista e-Currículo (PUCSP) , v. 14, p. 741-764, 2016. TRONCARELLI, M. C. C. ; Estela Würker ; MENDES, J. ; ZORTHEA, K. C. . A Formação de Educadores Indígenas para as Escolas Xinguanas. In: INEP. (Org.). Experiências e Desafios na Formação de Professores Indígenas no Brasil. 76ed.Brasília/DF: INEP, 2003, v. 20, p. 7-239. TRONCARELLI, M. C. C. ; Franchetto, B.; GAUDITANO, C. ; Santos, M.; KUIKURO, P. . Kungatagohoha igei ngongoi Esta é a terra que plantamos. 01. ed. Belo Horizonte: AIKAX, ISA, FALE/UFMG e SECAD/MEC, 2007. v. 1000. 144p. TRONCARELLI, M. C. C. ; Bruna Franchetto ; GAUDITANO, C. ; Mara Santos ; Professores Kalapalo, Matipu e Nahukua . Ngongoha igei kungatagoho Esta é a terra que nós plantamos. 01. ed. Belo Horizonte: Insituto Socioambiental,AIKAX, FALE/UFMG, SECAD/MEC, 2007. v. 1000. 109p. TRONCARELLI, M. C. C. . Professores Indígenas construindo propostas de pesquisa e registro de conhecimentos de seus povos. In: Cristina Flória e Ricardo Muniz Fernandes. (Org.). Tradição e Resistência encontro de povos indígenas. 01ed.São Paulo: Edições SESC-SP, 2008, v. 01, p.



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09-323..

Pré-requisitos

Grau de Escolaridade mínimo: Nível FUNDAMENTAL completo.

Critérios para admissão

Haverá processo seletivo?: SIM.

currículo: SIM

Data limite para entrega:
24/11/2018

Data do resultado:
26/11/2018

Período para confirmação de matrícula: De 26/11/2018 Até 28/11/2018.

E-mail para contato: agjunior@unicamp.br.

avaliação e aprovação

Critérios: Nota mínima de 07 e frequência mínima de 85%.

Inscrição e oferecimento

Local e período para inscrição

Local: IFCH/UNICAMP.

Telefone da Secretaria de Extensão: () .

Telefone para informações: (11) 999412702.

Período: de 15/11/2018 até 24/11/2018.

Local e período de oferecimento

Local: INSTITUTO DE FILOSOFIA E CIENCIAS HUMANAS/ UNIVERSIDADE ESTADUAL DE CAMPINAS - CAMPINAS/SP.

Dias da Semana/Horários: 29, 30, 03 A 06 DE DEZEMBRO DAS 13H ÀS 18H.

Período: de 29/11/2018 até 06/12/2018.

Parceria

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Não preenchido pela Unidade.

Professor responsável				
Matrícula	Nome	Instituição/Unidade/Depto	Titulação	Carga Horária
304259	ANTONIO ROBERTO GUERREIRO JUNIOR	UNICAMP/IFCH/DEPARTAMENTO DE ANTROPOLOGIA	DOUTOR(A)	2h30min
Telefone: 3521-1670				
E-mail: agjunior@unicamp.br				

Professores Docentes da Unicamp (Cadastro da DGRH)				
Matrícula	Nome	Unidade/Departamento	Titulação	Carga Horária
304259	ANTONIO ROBERTO GUERREIRO JUNIOR	IFCH/DEPARTAMENTO DE ANTROPOLOGIA	DOUTOR(A)	2h30min
Total:				2h30min

Professores COM vínculo					
Matrícula	Nome	Unidade / Órgão / Departamento	Titulação	Função	Carga Horária
135093	BETÂNIA DE LIMA RIBEIRO ALMEIDA FREITAS -	Instituto de Filosofia e Ciências Humanas/	SUPERIOR COMPLETO	Aluno - Regular - Ativo	5h00min
116634	DIOGO HENRIQUE CARDOSO -	Instituto de Filosofia e Ciências Humanas/	SUPERIOR COMPLETO	Aluno - Regular - Ativo	5h00min
106974	VERONICA MONACHINI DE CARVALHO -	Instituto de Filosofia e Ciências Humanas/	SUPERIOR COMPLETO	Aluno - Regular - Ativo	5h00min
Total:					15h0min

Professores SEM vínculo					
Matrícula	Nome	Instituição/Empresa	Titulação	Função/Profissão	Carga Horária
6839	ANA PAULA GONÇALVES	Universidade de são paulo	SUPERIOR COMPLETO	mestranda em antropologia social	3h00min



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6835	MARIA CAROLINA BOTINHON DE CAMPOS	UNIVERSIDADE DE SÃO PAULO	SUPERIOR COMPLETO	formadora junto aos professores/as Guarani Mbya da Terra Indígena Tenode Porã (Extremo sul de SP)	3h00min
6822	TÂNIA CARVALHO DIAS RALSTON	Instituto vera cruz	ESPECIALISTA	artista plastica	3h00min
6834	TATIANE MAÍRA KLEIN	Universidade de são paulo	MESTRE	doutoranda em. antropologia social	3h00min
6833	THOMAZ MARCONDES GARCIA PEDRO	UNIVERSIDADE ANHEMBI MORUMBI	MESTRE	professor	3h00min
				Total:	15h0min

Somatória da Carga Horária

Somatória da Carga Horária Total dos Professores:	35h00min
Carga Horária Total do Curso:	35h

Palestrantes COM VÍNCULO com a Unicamp

Não preenchido pela Unidade.

A Unidade proponente é responsável pela veracidade das informações constantes neste oferecimento.



UNIVERSIDADE ESTADUAL DE CAMPINAS
INSTITUTO DE FILOSOFIA E CIÊNCIAS HUMANAS
DEPARTAMENTO DE CIÊNCIA POLÍTICA



Campinas, 2 de outubro de 2018.

OF. DCP/IFCH nº 023/2018

000017

Ilmo. Sr.
Prof. Dr. ALVARO BIANCHI
DD. Diretor do Instituto de Filosofia e Ciências Humanas
UNICAMP

Senhor Diretor,

Venho por meio deste, solicitar a V. Sa. as providências necessárias para a aprovação do Convênio de Cooperação entre a UNICAMP e a Universidade de Bradford, do Reino Unido, para desenvolvimento de projeto de pesquisa “The drivers and impacts of the entry of police officers into legislative politics in Brazil” O Profejo é coordenado pela professora Fiona Macaulay, da Universidade de Bradford, e pelo professor Frederico Normanha Ribeiro de Almeida, do Departamento de Ciência Política/IFC/UNICAMP, responsável pela pesquisa no Brasil.

Esta solicitação foi aprovada em reunião de Departamento, realizada no dia 19 de setembro de 2018.

Atenciosamente,


Profa. Dra. Andréia Galvão
Matr. 29564-8
Chefe do Departamento de Ciência Política
IFCH / UNICAMP



Instituto de Filosofia e Ciências Humanas
**DEPARTAMENTO
DE CIÊNCIA
POLÍTICA**
Universidade Estadual de Campinas

000018

Campinas, 2 de outubro de 2018

Caro Diretor,

Submeto à apreciação da Congregação do Instituto de Filosofia e Ciências Humanas da Universidade Estadual de Campinas proposta de parceria institucional entre a Unicamp e a Universidade de Bradford, do Reino Unido, para desenvolvimento de projeto de pesquisa "The drivers and impacts of the entry of police officers into legislative politics in Brazil". O projeto é coordenado pela professora Fiona Macaulay, da Universidade de Bradford, e eu sou o responsável pela pesquisa no Brasil.

O projeto acaba de receber financiamento da British Academy, e sua execução depende, em primeiro lugar, da formalização da parceria entre as duas universidades para a transferência de recursos.

Para instruir a análise da Congregação, encaminho anexos:

- i. Cópia do projeto submetido;
- ii. Carta de aprovação do projeto pela British Academy;

Esclareço, que a minuta de Convênio de Cooperação entre as duas universidades, com interveniência da Fundação de Desenvolvimento da Unicamp, está em elaboração e ajustes entre as partes.

Informo, por fim, que a proposta de parceria já obteve aprovação do Departamento de Ciência Política do IFCH-Unicamp, na reunião realizada no último dia 19 de setembro.

Fico à disposição para qualquer esclarecimento ou providência que sejam necessários.

Atenciosamente,

Frederico Normanha Ribeiro de Almeida
Professor Doutor MS3.1
Departamento de Ciência Política

Applicant: **Macaulay, Fiona**
Organisation: **University of Bradford**
Funding Sought: **£7,543.00**
Funding Awarded: **£7,543.00**

SRG18R1\181476

The drivers and impacts of the entry of police officers into legislative politics in Brazil

We propose to investigate the nature, the scope and the impact of a significant entry of police and security sector actors into the political field in Brazil, in a pilot study in the state of São Paulo, over the period 1994-2018. We will map out the extent and drivers of this phenomenon: how many police-related candidates have been running for legislative office, and how many are sponsored by police corporations? What level of electoral success have they had, and why? What are their individual or collective motivations, and identities? What legislative agendas do they pursue once elected and what impact have they had? This migration between policing and political fields is unprecedented and unstudied, yet has implications for understanding new formations of representation in Brazil's legislative spaces in a period of party system decay during a new authoritarian turn, and for public policy on law-and-order and human rights.

PRIMARY APPLICANT DETAILS

Title	Dr
Name	Fiona
Surname	Macaulay
Organisation	University of Bradford
Tel (Work)	01274 235598
Email (Work)	f.macaulay@bradford.ac.uk
Address	Richmond Road Richmond Road Bradford BD7 1DP United Kingdom

CONTRIBUTOR DETAILS

Role	Lead Applicant Referee
Title	Professor
Name	Kathy
Surname	Hochstetler
Organisation	London School of Economics and Political Science
Tel (Work)	+44 (0)20 955 6892
Email (Work)	k.hochstetler@lse.ac.uk
Address	Department of International Development Houghton Street London WC2A 2AE United Kingdom

Section 1 - Eligibility

Primary Subject

Please indicate the subject most relevant to your research:

Politics

Primary Subject Detail - Politics

Please select the detail(s) of your primary subject:

- Development Studies - Politics
- Political Ideologies
- Political Sociology - Politics

Secondary Subject

If your application is more interdisciplinary, you may choose to indicate a secondary subject to which your application might also be relevant:

No Response

Time Period

Please indicate if your application is relevant to a specific time period:

Contemporary

Time Period

Please indicate if your application is relevant to a specific time period:

No Response

Audiences

Please indicate if your application will be of particular interest to any particular audience:

Other funding bodies overseas (e.g. EU, NIH, foreign funding councils)

Audiences

Please indicate if your application will be of particular interest to any particular audience:

Journalists, boradcasters and other media

Regional Interests

Please indicate if your application is relevant to a specific region of the world:

South America

Regional Interests

Please indicate if your application is relevant to a specific region of the world:

No Response

GMS ORGANISATION

Type	University
Name	University of Bradford
Phone (Work)	01274 235598
Email (Work)	f.macaulay@bradford.ac.uk
Address	Richmond Road Richmond Road Bradford West Yorkshire BD71DP United Kingdom

Names of Co-Applicants on this application

Please state the names of any co-applicants on this application:

Frederico de Almeida - Laboratorio de Estudos Sobre Politica e Criminologia, UNICAMP (Research Centre on Politics and Criminology, University of Campinas, Brazil)

Section 2 - Lead Applicant Details

PRIMARY APPLICANT DETAILS

Title	Dr
Name	Fiona
Surname	Macaulay
Organisation	University of Bradford
Tel (Work)	01274 235598
Email (Work)	f.macaulay@bradford.ac.uk
Address	Richmond Road Richmond Road Bradford BD7 1DP United Kingdom

CONTRIBUTOR DETAILS

Role	Lead Applicant Referee
Title	Professor
Name	Kathy
Surname	Hochstetler
Organisation	London School of Economics and Political Science
Tel (Work)	+44 (0)20 955 6892
Email (Work)	k.hochstetler@lse.ac.uk
Address	Department of International Development Houghton Street London WC2A 2AE United Kingdom

Section 3 - Lead Applicant Career Summary

Statement of Qualifications and Career

Please give dates of your academic qualifications and career.

Qualification:	Date:
DPhil in Politics, University of Oxford	30 June 1997
M.Phil in Latin American Studies, University of Oxford	30 June 1993
BA Hons (2:1) in Modern Languages, University of Oxford	30 June 1984
<i>No Response</i>	<i>No Response</i>

Present Appointment

Please state your present appointment.

Senior Lecturer

Present Employing Institution

Please state the institution at which you are currently employed.

University of Bradford

Present Department

Please indicate the Department or Faculty (or equivalent) in which you are based.

Division of Peace Studies and International Development

PhD Confirmation

Applicants working towards a PhD, or awaiting the outcome of their viva/submission of corrections are not eligible to apply.

Please therefore confirm that you have a PhD by answering yes.

If you are an established scholar with relevant equivalent experience, but no doctorate, please select 'no' and indicate under 'personal statement' below why you should be eligible for consideration:

Yes

Personal Statement

Please enter your personal statement:

No Response

Publications

Please list your principal and/or relevant publications in reverse chronological order, to a maximum of six:

Most relevant to this application are the following, because they specifically address the police as an institution, the interface between police and the political field, and the dynamics of policy-making and governance on criminal justice and human rights in Brazil/Latin America

(in press) 'Non governmental organisations and the rule of law: The experience of Latin America' in Christopher May and Adam Winchester (eds) *The Edward Elgar Research Handbook on the Rule of Law* Edward Elgar, New York

(In press) 'Prisoner Capture: welfare, lawfare and warfare in Latin America's overcrowded prisons' in Rachel Sieder and Karina Ansolabehere (eds) *Handbook of Law and Society in Latin America*, Routledge, New York

(2017) 'Presidents, producers and politics: Law-and-order policy in Brazil from Cardoso to Dilma', *Policy Studies*, 38:3.

(2012) "Deepening the federative pact? The Dilma government's approach to crime, justice and policing" *Critical Sociology* 38:6

(2012) 'Cycles of police reform in Latin America' in David J. Francis (ed) *Policing in Africa* Palgrave/Macmillan, 2012

(2011) 'Federalism and the State Criminal Justice Systems' in Timothy J. Power and Matthew M. Taylor (eds) *Corruption and Democracy in Brazil: The struggle for accountability*, Notre Dame University Press.

Unpublished Research

Please list any extant unpublished projects funded by the Academy or any other agency, and their expected publication date (or other explanation):

None at present

Previous Support Dates

Please give details of any research application submitted to the British Academy within the last five years:

Please note that only one British Academy research grant may be held, or applied for, at any one time.

I reached the reserve list on the British Academy Mid-Career fellowship scheme in 2014 with an application submitted on the topic 'Policy diffusion and forms of prison system administration, control and governmentality in Brazil and Latin America'. A communication from the BA to me stated 'Had additional

funding been available your application would almost certainly have been successful. As one of a small number of reserve candidates it was ranked very highly amongst all the applications we received to this round of the Mid Career Fellowship scheme. I was unable to apply in the following round due to the criteria of the Mid-Career scheme (I had by that point crossed the threshold of time elapsed since the award of my DPhil)

Previous Support Description

Please give the title of any previous research application submitted to the British Academy within the last five years, and the amount awarded (if any):

Title	Amount Awarded
'Policy diffusion and forms of prison system administration, control and governmentality in Brazil and Latin America'.	£0.00
<i>No Response</i>	<i>No Response</i>
<i>No Response</i>	<i>No Response</i>
<i>No Response</i>	<i>No Response</i>
<i>No Response</i>	<i>No Response</i>

Where did you hear of this scheme?

Please indicate where you heard about this scheme:

University

Section 4 - Co-Applicant Career Summary

Co-Applicant Contact Details

Please enter contact details below:

Title:	Dr
Forename(s):	Frederico
Surname:	Almeida
Correspondence Address:	Universidade Estadual de Campinas, Instituto de Filosofia e Ciências Humanas Rua Cora Coralina 100 Cidade Universitária – Campinas-SP ZIP Code 13083896 – Brazil
Email Address:	almeidaf@unicamp.br
Telephone Number:	55 11 968165839

Co-Applicant Statement of Qualifications and Career

Please give dates of your academic qualifications and career.

Qualification:	Date:
Phd in Political Science, University of São Paulo (USP)	01 October 2010
Master in Political Science, University of São Paulo (USP)	01 October 2006
Undergraduate Law degree, University of São Paulo (USP)	01 October 2001
<i>No Response</i>	<i>No Response</i>

Co-Applicant Present Appointment

Please state your present employment.

Assistant Professor

Present Employing Institution

Please state the institution at which you are currently employed.

University of Campinas (UNICAMP)

Co-Applicant Present Department

Please indicate the Department or Faculty (or equivalent) in which you are based.

Institute for Philosophy and Humanities, Department of Political Science

Co-Applicant Personal Statement

Applicants are invited to include any information relating to their professional career which they may wish to be taken into account in assessing this application.

For example, details of a career break, or the effect of working on a part-time contract may be relevant. This is an optional field.

No Response

Co-Applicant Previous Support

Please give the dates and title of any previous research application submitted to the British Academy within the last five years, and the amount awarded (if any):

Title of Research:	Date:	Amount Awarded:
<i>No Response</i>	<i>No Response</i>	<i>No Response</i>
<i>No Response</i>	<i>No Response</i>	<i>No Response</i>
<i>No Response</i>	<i>No Response</i>	<i>No Response</i>
<i>No Response</i>	<i>No Response</i>	<i>No Response</i>

*No Response**No Response**No Response***Co-Applicant Publications**

The relevant publications examine the influence of professionals from the legal field on the political field in Brazil, and the formation of elites in the political and judicial fields.

(2018) Almeida and PA Nassar 'The Ordem dos Advogados do Brasil [Brazilian Bar Association] and the politics of professional regulation in Brazil' In: Luciana Gross Cunha et al. (eds.). The Brazilian Legal Profession in the Age of Globalization. Cambridge: Cambridge University Press

(2017) Almeida et al. 'Corrupção, democracia e judiciário no discurso de ministros do Supremo Tribunal Federal (2013-2016)'. Revista Brasileira de Ciências Criminais, v. 25, n. 134. ['Corruption, democracy and the judiciary in the discourse of the Federal Supreme Court justices' Brazilian Journal of Criminal Science]

(2016) 'Judicialização da política e composição dos tribunais superiores'. Revista Parlamento e Sociedade, 3. ['Judicialization of politics and the composition of the upper courts' Journal of Parliament and Society]

(2016) Os juristas e a política no Brasil: permanências, deslocamentos e reposicionamentos. Lua Nova, No 97 [Jurists and politics in Brazil: staying put, moving on and repositioning' Journal Lua Nova].

(2014) 'As elites da justiça: instituições, profissões e poder na política da justiça brasileira'. Revista de Sociologia e Política, v. 22, no. 52, ['Justice sector elites: institutions, professions and power in Brazilian judicial politics' in the Journal of Sociology and Politics]

(2010). 'Inherited capital and acquired capital: The socio-political dynamics of producing legal elites'. Brazilian Political Science Review, 4:2

Section 5 - Second Co-Applicant Career Summary**Co-Applicant Contact Details**

Please enter contact details below:

Title: *No Response*

Forename(s): *No Response*

Surname: *No Response*

Correspondence Address: *No Response*

Email Address: *No Response*

Telephone Number: *No Response*

Co-Applicant Statement of Qualifications and Career

Please give dates of your academic qualifications and career.

Qualification:**Date:***No Response**No Response**No Response**No Response**No Response**No Response**No Response**No Response***Co-Applicant Present Appointment****Please state your present employment.***No Response***Present Employing Institution****Please state the institution at which you are currently employed.***No Response***Co-Applicant Present Department****Please indicate the Department or Faculty (or equivalent) in which you are based.***No Response***Co-Applicant Personal Statement****Applicants are invited to include any information relating to their professional career which they may wish to be taken into account in assessing this application.****For example, details of a career break, or the effect of working on a part-time contract may be relevant. This is an optional field.***No Response***Co-Applicant Previous Support****Please give the dates and title of any previous research application submitted to the British Academy within the last five years, and the amount awarded (if any):****Title of Research:****Date:****Amount Awarded:***No Response**No Response**No Response**No Response**No Response**No Response**No Response**No Response**No Response**No Response**No Response**No Response**No Response**No Response**No Response***Co-Applicant Publications**

No Response

Section 6 - Research Proposal

Subject Area

Please select the subject most relevant to your research:

Politics

Subject Area Detail - Politics

Please select the detail(s) of your Subject Area:

- Parliamentary Studies
- Political Sociology - Politics

Title of Research Proposal

Please state the title of your proposed research:

The drivers and impacts of the entry of police officers into legislative politics in Brazil

Abstract

Please provide a short abstract summarising your proposed research in terms suitable for an informed general audience, not one specialised in your field:

We propose to investigate the nature, the scope and the impact of a significant entry of police and security sector actors into the political field in Brazil, in a pilot study in the state of São Paulo, over the period 1994-2018. We will map out the extent and drivers of this phenomenon: how many police-related candidates have been running for legislative office, and how many are sponsored by police corporations? What level of electoral success have they had, and why? What are their individual or collective motivations, and identities? What legislative agendas do they pursue once elected and what impact have they had? This migration between policing and political fields is unprecedented and unstudied, yet has implications for understanding new formations of representation in Brazil's legislative spaces in a period of party system decay during a new authoritarian turn, and for public policy on law-and-order and human rights.

Project Start Date

Please state the start date of the proposed research:

01 September 2018

Project End Date

Please state the end date of the proposed research:

31 October 2019

Project Duration (months):

The number must not exceed the limit for the scheme.

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Proposed Programme

Please give a detailed description of the research programme, including methodology.

Applicants should be aware of the importance that assessors place on the viability, specificity and

originality of the research programme and of its achievability within the timescale, which should be specified in the Plan of Action.

The proposed research intends to investigate the scope and the impact of a growing phenomenon in Brazilian political life: the entry of a significant number of police officers into the political field. Once elected to the federal congress or the subnational legislatures (the state assemblies and the municipal chambers), they form a cross-party interest group referred to as the 'bancada da bala' (literally, 'bullet caucus'), which also includes ex-military officers, politicians sponsored by the major firearms manufacturers, and sympathisers (individuals personally affected by crime, or with a generally hard-line ideological position on law-and-order). A core purpose of these 'bancadas' is to influence national policies on crime and punishment, in a manner generally hostile to human rights guarantees and democratic pluralism, an impact detailed below.

The roots of the political entryism by police lie in the state police strikes of the 1990s, and the formation of local police unions, representing not just individual forces (military, civil, municipal and federal police) but also ranks within them. This has resulted in a progressive 'police-ization' of the country's legislative arenas. Some 3,886 civil and military police officer candidates ran in the 2004 municipal elections, with well over double that in 2016. São Paulo city council has had a 'bancada da bala' for several mandates and the numbers of police officers elected to the Chamber of Deputies jumped from four in 2010 to 19 in 2014. Some emerged as the highest voted deputies in their states as a result of penal populist campaigns and the low entry costs to politics in Brazil: the fragmentation of the party system (currently 25 parties are represented in the National Congress, none with more than 11.5 per cent of the seats) and an open list electoral system that requires individual candidates to have money, professional networks, and access to mass media, resources that the police forces and police unions have been able to provide.

Since the impeachment of President Rousseff in early 2016, deepening political polarisation has led to calls for military intervention and the replacement of the corrupt class of politicians. The Federal Police, leading the massive Lava-Jato corruption investigations, has launched its own informal 'Police Party', and is fielding 29 candidates for the 2018 elections in an entryist strategy that piggybacks on a number of right-wing parties. Jair Bolsonaro, the far right presidential candidate currently soaring in the polls, is a former military officer and a prominent member of the 'bancada da bala', and personifies this shift to more authoritarian attitudes to crime and punishment – what Brazilian call 'defesa social' (protection of society, that is, of 'good' people from 'bad people') and a shift away from the 'garantismo' (the principle and guarantee of human rights for all) that had been established legally, culturally and institutionally since the return to democracy in 1988.

Significance

This entry into politics by security-field actors has implications for understanding the mechanisms of political and interest representation in Brazil. With the deinstitutionalisation of Brazil's party system, the pork-barrel chain whereby career politicians act as intermediaries for sectoral interests in their district has been short circuited by police officials seeking to represent directly their own individual and corporate agenda (typically, institutional autonomy, career structures and perks, and budgetary allocations, impunity and protection from scrutiny, and a 'tough-on-crime' position that justified the foregoing). The police are no longer using proxies (such as retired governors in the Senate) to support their positions, but rather entering the political arena to push their own agenda directly, which makes this phenomenon very different from lobbying and worthy of specific analysis. We argue that a focus on cross-party ideological/policy entrepreneur groupings in legislative spaces is currently more relevant for understanding policy/discursive shifts than the standard unit of political science analysis, the party.

This shift also has implications for how policy agendas are created and pursued. The 'bancadas da bala' operate in the spaces of coalitional governance (where presidents, governors and mayors have to stitch

together a heterogenous coalition of parties), enabling them to engage in veto-playing and agenda-setting around law-and-order and human rights. At the federal level, the 'bancada da bala' has been very effective, especially with its reciprocal voting relations with the other two main 'bancadas' representing neo-Pentecostal Christians and large landowners. It has colonised policy spaces ceded or neglected by the more human rights-oriented centre-left, and worked to reshape policy on the prison system, to get the 2003 Gun Control Statute revoked, and to lower the age of criminal responsibility. At state level these 'bancadas' exert influence over operational issues concerning law-and-order policy (specifically policing and prisons) and at municipal level over policing, human rights and cultural issues.

The research gap and originality

I have been incorporating very preliminary reference to this phenomenon in recent publications on governance of law-and-order, human rights and corruption and impunity issues (Macaulay 2011, 2017). However, I have not had the means to date to conduct systematic data collection and analysis. There exist only very limited empirical data on this political entryist strategy by police. The thinktank Instituto Sou da Paz (2014) has tracked the members of the 'bancada da bala' in the federal congress, analysing their sponsors and their strategies for achieving their legislative agenda within the parliamentary committee on law-and-order policy. Berlatto et al (2014, 2016) examine the political and social profiles of police candidates for the Federal Congress. Faganello (2015) analyses the political positions, ranging from moderate to extremist, of the 'bancada da bala' in São Paulo city chamber and state legislative assembly. Besides this, there is virtually no research conducted into the 'bancadas da bala' at subnational level. This is partly due to the federal system of government, with electoral data dispersed over national and state levels, and with legislative impacts across three levels of government. No research has yet been done on the key questions that this research proposal will address, that is, the nature, the scope and the impact of a significant migration of police and security sector actors into the political field in Brazil, especially at a local level, and which examines both candidacies and elected officials, and considers impacts beyond the standing committees on law-and-order. Principally, we intend to explore the connections between political candidacy, political ideologies and public policies on crime, punishment and human rights.

We propose to gather and analyse the following data:

- a) how many police-related candidates have been running for office over the election cycles 1994-2018 in the state of São Paulo?
- b) To what extent are these candidacies individual or sponsored by police corporations?
- c) What are the motivations of the individual police candidates and of the police bodies sponsoring their election campaigns?
- d) What level of electoral success have they had, how and why?
- e) How do these police entrants into politics see themselves (for example, as political, security or moral actors)?
- f) What legislative agendas do they pursue once elected to legislative office? How heterogeneous are they? What is the balance of corporate interests versus a law-and-order, social control and penal agenda?
- g) How do they relate to other interest groups/bancadas – principally the neo-Pentecostal one?
- h) How do they relate to the non-police members of the 'bancada da bala' that is, the ex-military officers, those sponsored by gun manufacturers, and the relatives of victims of violence?

METHODOLOGY

This pilot study will use both quantitative and qualitative data in order to answer the research questions. Please see more detail in the Plan of Action

Step 1: gather, clean and systematize data on electoral candidacies and outcomes from available databases in São Paulo state to map the level of police candidacies in the 2018 federal and state legislative elections, and at all 3 levels of government in the state from 1994-2018

Step 2: to interview representatives of police unions in the state of São Paulo that sponsored candidates in the October 2018 legislative elections

Step 3 Online searches using data-scraping techniques to reveal additional contextual data about the candidacies and local circumstances, and enable us to select municipalities in which to interview bancadas da bala.

Step 4. Qualitative interviews with elected police officers in 3-4 cities outside São Paulo city, with a significant bancada da bala in order to analyse legislative impact.

REFERENCES

Berlatto, Fábila , Adriano Codato and Bruno Bolognesi (2014). 'Candidatos policiais na política nacional: uma análise dos aspirantes a deputado federal'. Newsletter. Observatório de elites políticas e sociais do Brasil. NUSP/UFPR, 1(7): 1-16.

Berlatto, Fábila et al (2016) 'Da polícia à política: explicando o perfil dos candidatos das forças repressivas de Estado à Câmara dos Deputados'. Revista Brasileira de Ciência Política, No. 21:79-122.

Faganello, Marco Antonio (2015). 'Bancada da Bala: uma onda na maré conservadora'. In Sebastião Velasco e Cruz, André Keyssel e Gustavo Cotas (eds). Direita, volver! O retorno da direita e o ciclo político brasileiro. São Paulo: Fundação Perseu Abramo.

Instituto Sou da Paz. 2014. O papel do legislativo na segurança pública: Análise da atuação da Comissão de Segurança Pública na Câmara dos Deputados em 2013, São Paulo: Instituto Sou da Paz.

Other Relevant Information Upload

If necessary, please upload any supplementary documents here:

No Response

Plan of Action

Please indicate here a clear timetable for your research programme.

Try to be as realistic as possible, but keep in mind that research programmes will develop over time and this plan of action is not something that is expected to account for every minute and is not unchangeable. But your chances of award will be affected by the assessors' perception of how viable and realistic this plan is.

SEPTEMBER Frederico to recruit research assistant at the University of Campinas

OCTOBER (after the nationwide legislative elections). Fiona to Brazil for a week, first team meeting at the University of Campinas, discussion of use and limitations of quantitative databases.

Interviews with police unions that sponsored candidates in the 2018 state and federal legislative elections, successes, agendas in the light of the 2018 legislative elections. These will likely be based in the capital city. Number of interviews: 5-6 (municipal guard, two civil police, two military police and one federal police)

OCTOBER - JAN 2019 – under supervision of Frederico the research assistant gathers, cleans and systematises the quantitative data on electoral candidacies and outcomes in both the 2018 elections and historic data on elections 1994-2018. For this we will identify and access a number of databases: the Electoral Court in the state of São Paulo, and that held by SEADE (Fundação Sistema Estadual de Análise de

Dados – the state's data analysis centre), which we can access through a colleague Renato Lima; of the Brazilian Forum on Public Security, a long-time researcher and director there.

They will also carry out online searches using data-scraping techniques – most police bodies sponsoring candidates have an online presence and publicise their candidates, obviously to get them elected. This will reveal additional contextual data about the candidacies and local circumstances, and the agendas of the police-candidates. These will be analysed using word frequency techniques and will also enable us to select municipalities in which to interview 'bancadas da bala'.

JAN 2019 – Frederico to the UK, at University of Bradford, for ten days to work with Fiona on data findings and identify municipal-level interview sites. We will work on a larger grant application to the ESRC-FAPESP scheme that would look Brazil-wide at this issue, with a larger team [January is good month as Brazilian universities have their extended break and teaching does not resume in Bradford until the end of the month]

FEB 2019 – first draft of ESRC-FAPESP grant application out to review

MARCH-APRIL Fiona to Brazil for ten days. Fiona and Frederico conduct qualitative interviews with elected police officers in 3-4 cities (outside São Paulo city, as some research has been done on the city and the state 'bancada da bala' already) that have a significant 'bancada da bala' in order to analyse legislative impact across the state and at local level: the selection will emerge from the data gathering exercise.

APRIL-JUNE Joint writing up of findings and submission to journal. Submission of ESRC-FAPESP grant proposal

JULY –joint presentation of findings at the Annual Conference of the Brazilian Forum on Public Security (at which there is a very high attendance by serving and former police officers). Interviews with press in Brazil.

SEPTEMBER Revision of publications

OCTOBER Write final report

Planned Research Outputs

Please indicate here what the expected output(s) from your research programme might be.

As appropriate, please indicate as follows: monograph, journal article(s), book chapter(s), digital resources, other (please specify).

Please outline your plans for publication under Plans for publication/dissemination below:

The research outputs will consist of

- a) At least one journal article in Portuguese. The Portuguese version will be submitted either to the journal of the Brazilian Institute of Criminal Science (IBCCRIM), the journal of the Brazilian Forum on Public Security, or the Brazilian Journal of Political Science.
- b) At least one journal article in English on the subject, to be submitted either to a journal of comparative politics, or a Latin Americanist journal (Journal of Latin American Studies, or Latin American Politics and Society)
- c) An application for a larger project on the same subject, but with a Brazil-wide remit, to the ESRC-FAPESP research funding scheme. This will involve larger number of collaborators, in other areas of Brazil, that will enable us to compare our findings from Sao Paulo state to other, selected states and key cities.
- d) A database on the entry of police into the political field (information on where it will be stored and access are given under 'Dataset storage and access')

Plans for Publication and Dissemination

Please state in more detail here what plans you have for publication or other dissemination of your research, including potential publishers, journals, conferences etc that are appropriate for your research subject:

The chief publications will be a journal article in Portuguese and one in English (see details above), covering distinctive aspects of the phenomenon. Both co-applicants have strong relationships with relevant research communities in Brazil, in the field of law-and-order policy (Brazilian Forum on Public Security, which has a constituency mainly of police officers, policy makers and researchers in the area of policing and law-and-order), in the field of criminal justice (the Brazilian Institute of Criminal Science, which has a constituency primarily of criminal lawyers, legal researchers and legal theorists), and political science. We anticipate interest in this work from researchers and journals in these different fields.

Therefore, apart from the publications mentioned above, there will be a number of opportunities to present our findings, especially in Brazil. Firstly, the October 2018 presidential and parliamentary elections will be some of the most unpredictable in the last couple of decades, with one of the front runners highly polarising, and a prominent member of the 'bancada da bala'. We thus anticipate a good number of media interviews in October and November, to give us an opportunity to talk about the project and basis of what is known at least in relation to the composition of the new Federal Congress. Once we have our results after a year of data gathering and analysis, we will also disseminate them publicly, for example through an article in a major newspaper in the state (for example we have a good contact with the Estado de São Paulo through journalist and academic Bruno Paes Manso who specialises in covering police-related issues, and maintains his own research site/blog). We will also use the communication platforms of the Brazilian Forum on Public Security, beyond their online journal, to engage police in debate on this phenomenon. We will also investigate publishing research notes in the online journals of the national and state legislature, and in our university department's respective webpages. We will also prepare a short YouTube video on our main findings. Beyond that, we envisage presenting findings at a number of academic conferences in Brazil and the UK. However, attendance at these will be funded from local institutional resources, not by this grant. For Frederico these would include, potentially, the annual conference on social sciences in Brazil (ANPOCS), and the Brazilian Association of Political Science meeting in June 2020. For Fiona, this would include the Society of Latin American Studies meeting in the UK, and the Latin American Studies Association conference in 2020

Digital Resource

If the primary product of the research will be a digital resource have you obtained guidance on appropriate standards and methods?

- Yes
 No

Deposit of Datasets

Please provide details of how and where any electronic or digital data (including datasets) developed during the project will be stored, along with details on the appropriate methods of access.

For projects whose primary purpose, or significant product, is the creation of a digital resource, data created as a result of the research, together with documentation, should be offered for deposit in an appropriately accessible repository within a reasonable time after the completion of the project.

Electronic and digital data (including datasets) developed during the project will be stored in at least three database repositories. The first of them is the Social Information Consortium (Consórcio de Informações Sociais – CIS), kept by the National Association of Graduate Studies and Research on Social Sciences (Associação Nacional de Pesquisa e Pós-Graduação em Ciências Sociais – ANPOCS), the main academic association of Brazilian Social Sciences. It is a free open-access repository that requires just a simple

registration for users. The submission is simple and for free. It can be accessed at <http://www.cis.org.br/>
 The second possibility is the Observatory of Social and Political Elites of Brazil (Observatório das Elites Políticas e Sociais do Brasil), kept by the Federal University of Paraná (Universidade Federal do Paraná). The Observatory keeps a repository of elite databases, including bureaucratic, parliamentary, judicial, social and intellectual elites. It is a free open-access repository, with no registration requirement for users. The submission is simple and for free. It can be accessed at <http://observatory-elites.org/database/>
 Finally, the dataset developed during the project can also be stored at the Repository of Scientific and Intellectual Production of the State University of Campinas (Repositório de Produção Científica e Intelectual da Universidade Estadual de Campinas). It is also a free open-access repository, that requires just a simple registration for users. The submission is simple and for free. It can be accessed at <http://repositorio.unicamp.br/static/sobre.jsp>

Overseas Travel - Country

If your research involves travel abroad, please select the relevant country/countries:

Brazil

Overseas Travel - Country

If your research involves travel abroad, please select the relevant country/countries:

No Response

Overseas Travel - Country

If your research involves travel abroad, please select the relevant country/countries:

No Response

Overseas Travel Institution

Please indicate if your research involves working in a particular overseas institution, and/or add other countries to which you will travel in connection with this application:

University of Campinas (UNICAMP)

Research Leave Granted/Permission Obtained

Please indicate if you will need to be absent from your employing institution in order to undertake the proposed research, and if so, whether the necessary permission has been obtained:

Yes, I will need to be absent in order to carry out the work in the field, in Brazil, and I have obtained the necessary permission

Support of British International Research Institute Required/Granted

Will you require the (non-cash) support of a British International Research Institute abroad?

If your research will take you to a country or region in which one of the British International Research Institutes operates, you are strongly encouraged to make contact with it before completing this form so that you can take account of any relevant expertise, facilities and logistical advice: Details can be found on the Academy's website at <http://www.britac.ac.uk/british-international-research-institutes>.

No

Language Competence

Please indicate here, if relevant, your level of language competence, or otherwise explain how the objectives of the research will be met:

I have near-native level Portuguese. I have been researching Brazil since 1993, which I started my doctoral research in that country. I also hold a Diploma from the Institute of Linguists confirming that my Portuguese was at BA level. My doctoral research entailed qualitative interviewing of politicians around the country, and my subsequent work for Amnesty International, and then as a researcher and academic since 2000 has entailed a lot of contact with the institutions and personnel of the criminal justice system. I have worked recently with the police (doing training around gender and human rights awareness) and I am very familiar both with the internal police jargon in relation to their institutions, and with the current legislative and policy issues in relation to criminal justice policy, as I have written about these for years.

Other Participants

Please give the names, appointments and institutional affiliation of any other participants in the proposed research. If detail is not known yet, please indicate numbers and status of people who might be involved:

My Co-Investigator on this project is Frederico de Almeida, from the University of Campinas (UNICAMP). He is an assistant professor and also heads the Research Centre on Politics and Criminology there

Role of Other Participants

Please describe the contribution to the project to be made by other participants, citing any particular specialisms and expertise:

Frederico Almeida done groundbreaking research on the interface of judicial and political elites. In particular he has worked on prosopographies of the judicial elite at both a national and state level, mapping out their collective biographies and career trajectories, and on how the political field in Brazil has become increasingly judicialised in Brazil as a result of this interface and of an increasing activism and political engagement by legal professionals. He thus brings to this study a background in studying professional groups that operate on the overlap between the legal and political fields. This is very complementary to Fiona's own work on political parties in Brazil (in the past she researched and published on the ways in which civil society groups - both human rights and women's groups - were able to influence public policy). She has also been analysing the collapse of the party system (a recent book chapter looked at the forces behind the impeachment of President Rousseff) and has worked with, and on, the police directly and indirectly for many years, examining police reform, and more recently in training the police.

Added Value of Collaboration

Please provide any comments you wish to make on the particular relevance, timeliness or other aspects of the collaboration, and the benefits envisaged:

We believe this is a very timely research project, given the seismic shifts in Brazilian politics in the last three years, culminating in the impeachment of Dilma Rousseff in 2016, the deepening polarisation in Brazilian politics, the rise of Jair Bolsonaro's candidacy and the systematic attempts to dismantle some key elements of human rights guarantees and accountability in criminal justice institutions since then. As we both work, in different ways, on the interface of politics, law and policy, we believe that we will be able to make a significant contribution to an understanding of the underlying dynamics of what is apparently the opening of a new cycle in Brazilian politics following the 20 years in power of the centre and centre-left governments of the PSDB and PT (under Presidents Fernando Henrique Cardoso, Luiz Inacio Lula da Silva and Dilma Rousseff).

Endangered or Emerging Subject Area

Applicants should be intending to pursue original, independent research in any field of study within the humanities or social sciences. There are no quotas for individual subject areas and no thematic priorities. The primary factor in assessing applications will remain the excellence of the proposal. The

Academy will, however, where appropriate, take into account the aim of providing particular support for certain important fields, either emerging areas of scholarship or areas of research that are endangered or under threat.

No Response

Ethical Issues

Are there any special ethical issues arising from your proposal that are not covered by the relevant professional Code of Practice? You must answer yes or no:

No

Have you obtained, or will you obtain ethical approval from your employing institution or other relevant authority? You must answer yes or no:

Yes

If the answers are yes to special ethical issues and no to having obtained prior approval, please describe here the non-standard ethical issues arising from your research and how you will address them:

If the answer is no to special ethical issues please enter N/A

N/A

Source of Funding

Have you/any co-applicants made any other applications in connection with this project? If so, with what results?

No

Section 7 - Financial Details

Period	Item Type	Item	Field	£
Financial year 2018-19	Travel Costs	Travel Costs	Proposed Cost	£2,650.00
			Latest Proposed Cost	£2,650.00
	Other Costs	Other Costs	Proposed Cost	£827.00
			Latest Proposed Cost	£827.00
	Accommodation	Accommodation	Proposed Cost	£1,650.00
			Latest Proposed Cost	£1,650.00
	Consumables	Consumables	Proposed Cost	£0.00
			Latest Proposed Cost	£0.00
	Research/Clerical Assistance	Research/Clerical Assistance	Proposed Cost	£2,416.00
			Latest Proposed Cost	£2,416.00
Financial year 2018-19 Total			Proposed Cost	£7,543.00
			Latest Proposed Cost	£7,543.00
Financial year 2019-20	Travel Costs	Travel Costs	Proposed Cost	£0.00
			Latest Proposed Cost	£0.00
	Other Costs	Other Costs	Proposed Cost	£0.00
			Latest Proposed Cost	£0.00

	Accommodation	Accommodation	Proposed Cost	£0.00
			Latest Proposed Cost	£0.00
	Consumables	Consumables	Proposed Cost	£0.00
			Latest Proposed Cost	£0.00
	Research/Clerical Assistance	Research/Clerical Assistance	Proposed Cost	£0.00
			Latest Proposed Cost	£0.00
Financial year 2019-20				
Total			Proposed Cost	£0.00
			Latest Proposed Cost	£0.00
Financial year 2020-21	Travel Costs	Travel Costs	Proposed Cost	£0.00
			Latest Proposed Cost	£0.00
	Other Costs	Other Costs	Proposed Cost	£0.00
			Latest Proposed Cost	£0.00
	Accommodation	Accommodation	Proposed Cost	£0.00
			Latest Proposed Cost	£0.00
	Consumables	Consumables	Proposed Cost	£0.00
			Latest Proposed Cost	£0.00
	Research/Clerical Assistance	Research/Clerical Assistance	Proposed Cost	£0.00
			Latest Proposed Cost	£0.00
Financial year 2020-21				
Total			Proposed Cost	£0.00
			Latest Proposed Cost	£0.00
Total	Travel Costs	Travel Costs	Proposed Cost	£2,650.00
			Latest Proposed Cost	£2,650.00
	Other Costs	Other Costs	Proposed Cost	£827.00
			Latest Proposed Cost	£827.00
	Accommodation	Accommodation	Proposed Cost	£1,650.00
			Latest Proposed Cost	£1,650.00
	Consumables	Consumables	Proposed Cost	£0.00
			Latest Proposed Cost	£0.00
	Research/Clerical Assistance	Research/Clerical Assistance	Proposed Cost	£2,416.00
			Latest Proposed Cost	£2,416.00
Total			Proposed Cost	£7,543.00
			Latest Proposed Cost	£7,543.00

Justification

Please refer to the scheme guidance notes for full details of eligible costs.

Please provide details of funding related to the relevant fields set out in the financial details table above.

Applicants should prepare accurate costings for the proposed research expenses, and should be particularly careful not to overestimate the resources required. Costs should be clearly itemised and justified in terms of the research programme for this application.

Travel: This consists of three international flights and local travel. Fiona will travel to Brazil twice, and Frederico to the UK once. The Brazil-UK flights are costed at £750 each. There is an additional £400 budgeted for local travel: this will include local travel in the UK and Brazil (to and from airports) and also

specific travel in Brazil to interview police-politicians in selected cities. Sao Paulo is the size of France, so we will either take long-distance buses or cheap flights to get to regional cities for interviews.

Accommodation: This consists of accommodation for Fiona in Brazil in Campinas, for Frederico in the UK and Fiona and Frederico in the cities of the interior where we conduct our interviews. Per diems are set at the appropriate local rates specified by our institutions at current exchange rates and will apply when we are both in the interior of the state interviewing, for Fiona when she is in Brazil, and Frederico when in the UK. The research assistant rate is a standard rate as set out by the State research council of Sao Paulo (FAPESP). The salary point is called Bolsa TT4 and is for students with a degree in IT, and who will therefore be properly qualified to carry out the tasks envisaged in this research proposal

Name of Special Fund

If the scheme includes funding from more than one source, please indicate if your application is relevant to a particular special fund - details in scheme guidance notes

No Response

Section 8 - Equal Opportunities

Gender

Please indicate your gender:

Female

Age

Please indicate which age group you are in:

50-59

Date of Birth

Please state your date of birth:

30 April 1962

Ethnic Origin

Please state your ethnic origin:

White - British

Disabilities

The Disability Discrimination Act defines disability as "A physical or mental impairment which has a substantial and long-term effect on the person's ability to carry out normal day-to-day activities". If this applies, please specify the nature of the disability:

No Response

10-11 Carlton House Terrace
London SW1Y 5AH
Tel: +44 (0)20 7969 5200
Fax: +44 (0)20 7969 5300



**BRITISH
ACADEMY**

for the humanities and social sciences

Dear Dr Fiona Macaulay

Reference: SRG18R1\181476 submitted on 06 June 2018 through University of Bradford.

Thank you for your proposal to the BA/Leverhulme Small Research Grants SRG 2018 scheme.

We are pleased to inform you that your application, SRG18R1\181476, has been approved for the award of £7,543.00.

Please note that payment of the grant will be scheduled shortly after the acceptance has been received from the majority of award-holders within the next two weeks.

Please note that the final report on this grant will be scheduled for three months after the end date of the award.

PLEASE NOTE THAT BY ACCEPTING THIS AWARD VIA THE BRITISH ACADEMY'S FLEXI-GRANT SYSTEM YOU ARE AGREEING TO THE TERMS & CONDITIONS OF THIS SCHEME – PLEASE SEE BELOW.

You must notify your organisation's Research or Finance Office as they are also required to accept the award. You need to ask them to provide a payee reference when they accept the offer. This will help them identify and allocate payments correctly when the funding is transferred by the British Academy. Independent scholars need to speak directly to the Academy about completion of the payee reference.

You are required to accept or refuse this award on the Flexi-Grant System. The URL to complete this task is included in the email this offer letter is attached to.

If you have any further questions, please contact us at: grants@britac.ac.uk.

Best wishes,

Ken Emond

Head of Research Funding

The British Academy, 10-11 Carlton House Terrace, London, SW1Y 5AH

Tel: +44 (0)20 7969 5265



Terms & Conditions of Award

PART 1

1. INTRODUCTION

- 1.1. Part 1 of this Terms & Conditions of Award document sets out the standard terms and conditions for all British Academy awards. Additional terms and conditions specific to the BA/Leverhulme Small Research Grants are outlined in Part 2.
- 1.2. The Conditions of Award should be read in conjunction with the Award Letter, and the British Academy's Code of Practice, which together set out the terms and conditions of the award. The [Code of Practice](#) is available on the British Academy's website and may be updated periodically.
- 1.3. The Host Organisation must ensure that the Award Holder, others supported by the Award and collaborators are made aware of their responsibilities and comply with these Conditions of Award and the Award Letter. Failure to comply with these terms and conditions will lead to termination of the Award and the British Academy reserves the right to recover the grant monies in part or full. For further information, please see Section 12: Compliance.

2. DEFINITIONS

- 2.1. **Award:** the award of a grant under the Small Research Grants Programme as specified in the Award Letter.
- 2.2. **Award Letter:** the letter from the British Academy to the principal Award holder specifying the value and tenure of the grant that has been awarded.
- 2.3. **Award Holder(s):** the person or persons to whom the grant has been awarded and who has responsibility for the intellectual leadership and overall management of the research.
- 2.4. **Award Period:** the period of the Award as set out in the Award Letter, commencing on the start date confirmed by the Host Organisation in the manner indicated by the British Academy.
- 2.5. **Data:** includes computational or curated data, and data that are produced by an experimental or observational procedure.
- 2.6. **Financial Statement:** a form that must be completed by the Host Organisation and submitted to the British Academy that sets out (i) the actual expenditure incurred by the Host Organisation during the Award period on the Award, (ii) the total amount awarded by the British Academy in respect of the Award, and (iii) any additional information that the British Academy requests from the Host Organisation.
- 2.7. **Host Organisation:** the University, institution, research council or other body at which some or all of the research funded by the award will be carried out or which employs one or all of the Award Holder(s), and which takes responsibility for the management of the research project and the accountability of funds provided. (This is the

organisation which approved the submission of the application in the Flexi-Grant system)

- 2.8. **Intellectual Property:** includes all inventions, discoveries, materials, technologies, products, data, algorithms, software, patents, databases, copyright and general knowledge
- 2.9. **Report:** a form on which the Host Organisation reports on the activities undertaken during the award period that must be completed by the Award Holder and submitted to the British Academy.
- 2.10. **Research Misconduct:** includes the fabrication, falsification, or plagiarism in proposing, performing, or reviewing research or in reporting results, misrepresentation, mismanagement or inadequate preservation of data and/or primary materials, making up data or results and recording and reporting them, such that the research is not accurately represented in the public research record.
- 2.11. **The British Academy:** the British Academy (a charity registered in England with number 233176)

3. USE OF GRANT

- 3.1. Unless otherwise stated, all awards must be held in a research active institution.
- 3.2. The Award Holder and Host Organisation must ensure that the Award is used only for the activities specified by the British Academy.
- 3.3. The Award is to support the research project and related activities described in the application and against which performance will be assessed. If it is proposed to vary the project or programme in any significant way, prior approval must be sought from the British Academy.
- 3.4. The Award may be used only for eligible items as outlined in the scheme notes, and in accordance with the activities originally requested in the application. If any exceptions have been approved, they will be specified in the Award Letter.
- 3.5. The Host Organisation must ensure that sufficient resources are provided to support the activities described in the Award Letter. This includes adequate office and laboratory space and access to essential equipment and facilities.
- 3.6. Expenditure cannot be vired between budget headings without prior written permission from the British Academy.
- 3.7. Applicants must inform the British Academy of the outcomes of any other applications relating to the project. In cases where simultaneous applications to the British Academy and to another funding agency covering the same elements of a project are both successful, the applicant should decide which of the two awards to accept. Only if there is no duplication of expenditure under any of the budget heads, and no unnecessary inflation of a project, will an applicant be permitted to retain both awards (subsequent requests to alter the plan of research simply to allow the applicant to retain both awards are unlikely to be considered favourably). There is no objection to the applicant holding awards both from the British Academy and from another funding agency to cover separate elements of a project.

- 3.8. Any items of equipment that may have been exceptionally agreed, and any research resources purchased with the help of a British Academy grant, must be deposited on the expiry of the grant with an institution (usually the recipient's home institution, or otherwise as agreed with the British Academy). Such items do not become the personal property of the Award Holder.

4. ACCEPTING THE OFFER AND PAYMENT

- 4.1. The Host Organisation will be the financial administrator of the award.
- 4.2. Awards are paid to the Host Organisation by electronic transfer (BACS).
- 4.3. The timing of payment is at the British Academy's discretion but every effort will be made to ensure that funds are released in good time. Please note that it may take up to 28 days for payment transfer to be completed by the British Academy's Finance Office. The Award Holder must confirm acceptance of these conditions of award as part of the formal acceptance in the Flexi-Grant system before the grant is released.
- 4.4. Grants are cash-limited at the value stated in the Award Letter. There is no scope for increasing the level of grant awarded.
- 4.5. It is the responsibility of award holders to ensure that suitable arrangements have been agreed with the Host Organisation and any other partner institutions, whether in the UK or overseas, for the administration of the Award. This includes arrangements for the transfer of funds, if applicable, before the award begins. The British Academy expects the funds to be administered by institutions in accordance with their normal procedures for managing grant income. The Host Organisation is responsible for accounting to the British Academy for the use of the award.

5. REPORTING AND ACCOUNTS

- 5.1. The Award Holder must fulfil the financial and non-financial reporting requirements as set by the British Academy. Failure to submit a report that has been deemed as satisfactory by the British Academy can result in the Award being suspended or withdrawn.
- 5.2. The Host Organisation must ensure proper financial management of the Award and accountability for the use of public and charitable funds, and ensure that formal audit standards and procedures exist for maintaining appropriate anti-fraud and corruption controls in accordance with the Fraud Act (2006). Any fraud associated with the award must be notified to the British Academy immediately.
- 5.3. Recipients of awards are required to submit an itemised statement of expenditure, signed by the responsible officer in the Host Organisation. If there is an underspend on the grant, the unspent amount should be refunded to the British Academy. The report will be deemed incomplete until the grant has been fully accounted for and any financial reconciliation made.
- 5.4. Future applications for funding will not be considered unless a satisfactory report and statement of expenditure for the previous grant have been submitted.

- 5.5. At the end of all awards, Award Holders are required to submit a final report on the work carried out with the aid of the British Academy grant. The final report must be submitted within three months of the end of the Award on the prescribed report form via the Flexi-Grant system.
- 5.6. For all awards lasting two or more years, Award Holders are required to submit an interim report for each year on the work carried out with the aid of the British Academy grant, with the exception of the final year. This interim report must be submitted on the prescribed report form and will be reviewed by the British Academy; only after it has been deemed satisfactory will funds for any subsequent years be issued. For the final year, the final report must be submitted as described in 5.5.
- 5.7. The Host Organisation must complete and return a reconciliation statement within 3 months of the end date of the Award period. The completed final reconciliation statement received by the British Academy represents the final statement of expenditure for the Award. The British Academy is not obliged to make any further payments in respect of the Award once it has received the final statement. The British Academy will reconcile the expenditure incurred against payments made to ensure that any under spend on the Award is returned. Funding cannot be vired between budget headings to cover the cost of an over spend, any overspend must be met by the Host Organisation.
- 5.8. In the case of independent scholars, who have administered the award personally, receipts for single items over £100 must be provided. If there is an underspend on the grant, the unspent amount should be refunded to the Academy. The report will be deemed incomplete until the grant has been fully accounted for and any financial reconciliation made.
- 5.9. The British Academy reserves the right to require the Host Organisation to complete and submit a statement of expenditure for a financial year at any time during the course of the Award, or to provide supplementary information in support of an interim or final statement of expenditure.
- 5.10. In the event that the requested interim or final reports, or statements of expenditure are not submitted, the Host Organisation's staff or affiliated / visiting researchers will not be able to apply or to be considered for British Academy funding until such reports or statement have been received, and are deemed satisfactory, with any underspend returned.
- 5.11. If there are exceptional reasons that will prevent submission of the final report within the period allowed, a written request may be made, before the due date passes, for the submission period to be extended.
- 5.12. All payments may be recovered if the statement is not received within 6 months of the end of the Award.

6. VARIATION AND TERMINATION

- 6.1. The British Academy reserves the right to amend these Conditions of Award, its Code of Practice, and any terms and conditions in the Award Letter. Any changes to the Conditions of Award or Award Letter will be notified to the Award Holder in writing,

and any changes to the Code of Practice will be notified on the British Academy's website.

- 6.2. Work must commence on the start date as specified in the application and confirmed with the offer of award. Formal approval will be required if it is proposed to defer the start date which must still be within the start period stated in the Scheme Notes. The British Academy should be notified of any delay.
- 6.3. Under exceptional circumstances, no-cost extensions may be requested. Extensions must be requested before the due end date of the Award, giving sufficient reason for the request. Requests for no-cost extensions received after the due end date of the Award will not be considered. If an extension is approved, the final report should be submitted as soon as the British Academy-funded phase of research is completed, within the agreed timescale.
- 6.4. The Award Holder or the Host Organisation must inform the British Academy without delay of any change to the status of the Award Holder, Co-Applicant, or the Host Organisation that might affect their ability to comply with these Conditions of Award. This includes contracts of employment which must continue at least for the duration of the Award or any cessation and event or circumstance that is likely to affect the overall delivery of the Award.
- 6.5. The Award Holder must inform the British Academy as soon as practicable of any significant divergence from the original aims and directions of the research project that is being funded by the Award, or any cessation and event or circumstance that is likely to affect the overall delivery of the project.
- 6.6. The British Academy reserves the right to terminate or suspend the Award at any time, subject to reasonable notice (normally 3 months). In the event that the British Academy's public funding is reduced or withdrawn by UK Government or if the British Academy should enter into administration, the British Academy reserves the right to terminate any awards with no liability for any further Fellowship or Award payments. The Host Organisation will fully indemnify the British Academy in respect of any claims brought against the British Academy in this regard.

7. PUBLICATIONS

- 7.1. Due acknowledgement of support received from the British Academy should be made in any publication resulting from the research, whether an article, a book, or any other form of output. One copy of any book should be sent to the British Academy, where it will be catalogued, and placed in the British Academy's Library. The Award Holder is required to provide the British Academy with full bibliographical information on any other published outputs resulting from the award.
- 7.2. The Award Holder is expected to follow acknowledged good practice when publishing the results of their research as detailed in guidelines issued by, for example, the Committee on Publication Ethics, the Council of Science Editors and the ARRIVE guidelines.

8. OTHER DISSEMINATION

- 8.1. For projects whose primary purpose, or significant product, is the creation of a digital resource, data created as a result of the research, together with documentation, should be offered for deposit in an appropriately accessible repository within a reasonable time after the completion of the project.
- 8.2. In cases where the principal output from the project is the creation of a digital resource, applicants should be aware that details of the project, and contact details of the principal applicant, may be passed to the relevant national Data Services providers for evaluation or contact purposes.
- 8.3. The Award Holder is required to notify the British Academy of any impact resulting from their research. Impact can mean making a significant contribution to an academic field and/or to policy and practice decision-making. This could be an impact in economic terms, affecting the profits of firms or the revenue of government, or in terms of having an impact on less quantifiable areas such as the environment, public health or quality of life.
- 8.4. The Award Holder must make all reasonable efforts, if so invited, to respond to requests for information or to attend events or activities organised by the British Academy concerning the research undertaken. Such events may be held after a grant has ended.
- 8.5. The Host Organisation must ensure that it obtains the prior approval of the British Academy on any press statements associated with the Award.
- 8.6. Due acknowledgement of support received from the British Academy should be made in any form of media communication, including media appearances, press releases and conferences. The contents of any press releases or announcements associated with the Award should be agreed by the British Academy first to ensure they comply with any current publicity requirements (including any branding guidelines).

9. RESEARCH ETHICS, POLICIES, AND LEGAL

- 9.1. The British Academy will not become an employer of the Award Holder or any other third party as a result of the provision of this Award. In all cases where support is provided by the Award for the employment of staff, the Host Organisation must issue a contract of employment which is in compliance with relevant laws and regulations.
- 9.2. The Award Holder and Host Organisation must ensure that the Award is managed in a way which is compliant with the terms of the (UK) Equality Act 2010.
- 9.3. The British Academy requires the research it funds to be conducted in an ethical and legal manner. The Host Organisation is responsible for ensuring that ethical issues relating to the research project are identified and brought to the attention of the relevant approval or regulatory body. Ethical approval to undertake the research must be granted by the relevant authority before any work requiring approval begins. Research undertaken outside the UK must have both UK and respective country ethical approvals. The Host Organisation must ensure that all legal requirements related to the research are met.

- 9.4. The Host Organisation should meet the requirements of the Concordat to Support Research Integrity (2012) and subsequent amendments, and must have in place formal procedures for governing good research practice and for handling and reporting allegations of fraud or research misconduct.
- 9.5. The Host Organisation is expected to adopt the principles, standards and good practice for the management of research staff set out in the Concordat to Support the Career Development of Researchers (2008) and subsequent amendments. Research staff should be appointed on terms that are no less favourable than those of comparable posts in the Host Organisation.
- 9.6. The Host Organisation is responsible for ensuring that a safe working environment is provided for all individuals associated with a research project, and must meet all regulatory and legislative requirements as recommended by the Health and Safety Executive, and will include appropriate care where researchers are working off-site.
- 9.7. It is the responsibility of the Host Organisation and Award Holder to ensure that appropriate insurance is obtained for any individual employed on the Award, including students, who intend to undertake Overseas Travel, Fieldwork, Secondments or Sabbaticals during the Award period.
- 9.8. The Award Holder is expected to comply with the Host Organisation guidelines on overseas travel and safety in fieldwork, particularly for high risk countries. The British Academy will not be held liable for the health, safety and security of award holders or individuals employed on an award.
- 9.9. The Host Organisation and the Award Holder must ensure that research involving the use of animals falls within the regulations laid down in the UK Animals (Scientific Procedures) Act 1986 and subsequent amendments. Any element of research funded by the Award that is conducted outside the UK must, as a minimum standard, be conducted in accordance with the principles of UK legislation.
- 9.10. In the case of research involving the use of animals, the Award Holder must inform the British Academy that animals will be used in the research and provide the following details, the number of animals, the species and procedures involving animals. The Award Holder must also inform the British Academy as soon as possible of any significant increase to the number of animals used or a change in the species from that which was originally supported by the Award.
- 9.11. The British Academy endorses the principle of the NC3Rs (replace, refine and reduce) which means that every effort must be made to replace the use of live animals with non-animal alternatives; to reduce the number of animals used in research; and refine procedures so that the degree of suffering for animals is kept to an absolute minimum.
- 9.12. The British Academy accepts no responsibility for costs or liabilities arising from the research funded by the Award, other than those set out in these Conditions of Award, or otherwise agreed in writing.
- 9.13. The Host Organisation, any Award Holder or any other person working on the Award (including but not limited to employees, students, visiting fellows and subcontractors) will indemnify the British Academy against any claims for compensation or against

any other claims (whether under any statute or regulation or at common law) for which the Host Organisation may be liable as an employer or otherwise or for which any other person working on the Award may be liable.

- 9.14. These Conditions of Award will be governed by the laws of England and Wales. All matters relating to the terms and conditions will be subject to the exclusive jurisdiction of the courts of England and Wales.
- 9.15. If any provision of these terms and conditions is found by a court or other legitimate body to be illegal, invalid or unreasonable, it will not affect the remaining terms and conditions which will continue in force.

10. INTELLECTUAL PROPERTY

- 10.1. Unless stated otherwise, the ownership of British Academy-funded intellectual property (IP), and responsibility for its identification, protection, management and exploitation, rests with the Host Organisation.
- 10.2. It is the responsibility of the Host Organisation, and all engaged in the research, to make every effort to ensure that any potentially valuable results obtained in the course of the research are exploited, whether protected by IP rights or not, and used to the benefit of society and the economy.
- 10.3. Where the Award is associated with more than one research organisation and/or project partner, a formal collaborative agreement must be established, which sets out the contributions and ownership rights of the organisations and individuals involved with regards to intellectual property and exploitation. It is the responsibility of the Host Organisation to put such an agreement in place before the Award commences. The terms of the collaborative agreement must not conflict with the British Academy's Conditions of Award.
- 10.4. The collaborative agreement must set out that the Host Organisation(s) is not restricted in its future research capability, that all applications of the intellectual property are developed in a timely manner and that the substantive results of the research are published within an agreed and reasonable period.

11. DATA PROTECTION

- 11.1. The British Academy is compliant with the GDPR and adheres to the principles of the Data Protection Act 2018.
- 11.2. The British Academy is committed to protecting and storing securely any personal and sensitive data held. Applicants should be aware that the information they provide on the application form and, if successful, in subsequent reports will be used by the British Academy for processing the application, making any consequential award, for the payment, monitoring and review of the award, and for general British Academy business. This information may be shared with relevant funding partners, including but not limited to the Department for Business, Energy and Industrial Strategy, the Foreign and Commonwealth Office, and the Department for International Development.

- 11.3. Information will also be shared with relevant officers in the Host Organisation for the application and award procedures to be followed.
- 11.4. Details of award holders (including name, institution, project details and amount of award) will be used to compile published lists of award holders which will be made available on the Internet, and to produce statistical and historical information on British Academy awards.
- 11.5. Queries submitted under the terms of the GDPR about the processing of personal data should be addressed to the British Academy's Data Protection Officer at DPO@britac.ac.uk. Submitting the online application form constitutes the applicant's agreement to all terms, conditions, and notices contained in the Scheme Notes.
- 11.6. You also have the right to make a complaint to the Information Commissioner's Office (ICO), the UK supervisory authority for data protection issues, at any time. The ICO's contact details are as follows:

Information Commissioner's Office
Wycliffe House
Water Lane
Wilmslow
Cheshire
SK9 5AF

Telephone: 0303 123 1113 (local rate) or 01625 545 745

- 11.7. Application forms will be retained for ten years in the case of successful applications, and five years in the case of unsuccessful applications, and may be consulted by the British Academy in the event of future applications being submitted.

12. COMPLIANCE

- 12.1. The British Academy reserves the right upon reasonable notice to inspect the award at any time and to require further information to be supplied as seen fit. Such further information may include but is not limited to financial records and financial procedures associated with the Award, or to appoint any other body or individual for the purpose of such inspection.
- 12.2. Persistent failure to comply with the conditions of award will result in the British Academy writing to the Vice-Chancellor or equivalent senior official of the Host Organisation and lead to the possibility of sanctions.
- 12.3. The sanction for non-compliance with the conditions of award is penalty-listing: that is, to disbar, sine die, the scholar concerned from applying to the British Academy under any of its grant-giving schemes; and to disbar, for a period of two years, all members of that institution from applying to the British Academy under any of its grant-giving schemes.

PART 2

SCHEME-SPECIFIC CONDITIONS

BRITISH ACADEMY/LEVERHULME SMALL RESEARCH GRANTS

Use of Grant

1. The award is to support the research project described in the application. If it is proposed to vary the project or programme in any significant way, prior approval must be sought from the British Academy.
2. Research should commence within three months of the start date as specified in the application and confirmed with the offer of award. The Academy should be notified of any delay. Formal approval will be required if it is proposed to defer the start date beyond three months of the date originally specified.
3. Grants may be used **only** for items eligible under the Small Research Grants scheme, and in accordance with the items originally requested in the application. As a reminder, the items for which Academy grants may be used are set out in Table 1. If any exceptions have been approved, they will be specified in the letter of award.
4. Expenditure may be vired between budget heads, within reason. Small adjustments may be made without recourse to the Academy, but if any significant adjustment to the proposed expenditure is envisaged, prior permission should be sought from the Academy.

Payment of grant

5. Awards are paid to the award holder's institution (except in the case of independent researchers, not affiliated to an institution). Payment will be made by electronic transfer (BACS). Wherever possible, payment will be made before the research specified in the application is scheduled to begin. The timing of payment is at the Academy's discretion but every effort will be made to ensure that funds are released in good time. Please note that it may take up to 28 days for payment transfer to be completed by the Academy's Finance Office.
6. The Small Research Grants programme is supported from a wide range of funding sources both governmental and non-governmental. After an award has been made, the applicant and their employing organisation will be informed about any award made from a non-governmental source.
7. The Small Research Grants programme is not included in the FEC regime, and all of the research expenses covered by the award are Directly Incurred Costs.
8. The Academy regrets that it cannot enter into correspondence with or on behalf of award holders on the subject of income tax.

Reporting and accounts

9. For Small Research Grants, the final report is due within 3 months after the end date of the award.
10. In the case of researchers not affiliated to an institution, who have administered the award personally, receipts for single items over £100 must be provided.

11. If there is an underspend on the grant, the unspent amount should be refunded to the Academy. The report will be deemed incomplete until the grant has been fully accounted for and any financial reconciliation made.
12. **Re-applications for funding will not be considered unless a satisfactory report and statement of expenditure for the previous grant have been submitted.**

Variation and Termination of award

13. The Academy should be informed in writing immediately if the award holder intends to move to another institution during the course of the research. It is normal procedure for any unspent research money to be transferred to the award holder's new employing institution. An interim statement of expenditure should be submitted to the Academy by the relinquishing institution. The new institution is required to confirm its acceptance of the grant and conditions of award. Provided no difficulties arise, the Academy will then authorise the transfer of grant between institutions.
14. Award holders may defer the start date (and consequently the end date) by up to three months, by notifying the Academy when accepting the grant (see paragraph 1). If, owing to exceptional circumstances, the work cannot be completed within the timeframe notified, the Academy should be informed immediately. A short extension period may be requested **before** the due end date of the grant: requests for extensions received after the due end date of the grant will not be considered. If an extension is approved, the *final* report should be submitted as soon as the Academy-funded phase of research is completed, within the agreed timescale.
15. Small Grants may normally be held over a period of up to 24 months. In exceptional circumstances, grants may be extended to an absolute maximum of 36 months.

Table 1: Items eligible for funding from Small Research Grants

Item	(v=Yes, X =No)	
travel and maintenance away from home	v	
research assistance	v	
consumables (i.e. purchase of datasets, photocopies, microfilms, etc., and any other minor items that will be used up during the course of the project)	v	
specialist software (excluding commonly available office packages)	v	
Costs of interpreters in the field	v	
organisation of workshops to advance the research (if part of the approved programme of work)	v	
Short-term consultancy or salary costs of expert staff	v	
the costs of preparing illustrations, including photography, acquisition of images, draughtsmanship and cartography or other bespoke illustrative material; and the costs of reproduction rights for text or images	v (see note 1)	
incidental translation expenses	v (see note 1)	

attendance at conferences either in the UK or abroad to disseminate results of research	v	
childcare where this is unavoidable	v	
computer hardware, including laptops, electronic notebooks, digital cameras etc.		X
books and publications		X
permanent resources and equipment or any elements that should properly be ascribed to departmental or university overheads		X
the preparation of camera-ready copy, copy-editing, proof-reading, indexing, or any other editorial task		X
subventions for direct production costs (printing, binding, distribution, marketing etc.)		X
Costs of publication in electronic media		X
payment to the principal/ co-researcher(s) in lieu of salary, or for personal maintenance at home		X
replacement teaching costs		X
travel /maintenance expenses for purposes such as lecture tours, or writing up results of research		X
institutional overheads		X

Note 1: The case made must be explicitly approved by the Academy.